



# **LOLG JAVA** for the Impatient **Third Edition** Cay S. Horstmann

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## Core Java for the Impatient

**Third Edition** 

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## **Core Java for the Impatient** Third Edition

Cay S. Horstmann

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To Chi—the most patient person in my life.

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## Preface

Java has seen many changes since its initial release in 1996. The classic book, *Core Java*, covers, in meticulous detail, not just the language but all core libraries and a multitude of changes between versions, spanning two volumes and over 2,000 pages. However, if you just want to be productive with modern Java, there is a much faster, easier pathway for learning the language and core libraries. In this book, I don't retrace history and don't dwell on features of past versions. I show you the good parts of Java as it exists today, so you can put your knowledge to work quickly.

As with my previous "Impatient" books, I quickly cut to the chase, showing you what you need to know to solve a programming problem without lecturing about the superiority of one paradigm over another. I also present the information in small chunks, organized so that you can quickly retrieve it when needed.

Assuming you are proficient in some other programming language, such as C++, JavaScript, Swift, PHP, or Ruby, with this book you will learn how to become a competent Java programmer. I cover all aspects of Java that a developer needs to know today, including the powerful concepts of lambda expressions and streams, as well as modern constructs such as records and sealed classes.

A key reason to use Java is to tackle concurrent programming. With parallel algorithms and threadsafe data structures readily available in the Java library,

the way application programmers should handle concurrent programming has completely changed. I provide fresh coverage, showing you how to use the powerful library features instead of error-prone low-level constructs.

Traditionally, books on Java have focused on user interface programming, but nowadays, few developers produce user interfaces on desktop computers. If you intend to use Java for server-side programming or Android programming, you will be able to use this book effectively without being distracted by desktop GUI code.

Finally, this book is written for application programmers, not for a college course and not for systems wizards. The book covers issues that application programmers need to wrestle with, such as logging and working with files, but you won't learn how to implement a linked list by hand or how to write a web server.

I hope you enjoy this rapid-fire introduction into modern Java, and I hope it will make your work with Java productive and enjoyable.

If you find errors or have suggestions for improvement, please visit http://horstmann.com/javaimpatient, head for the errata page, and leave a comment.
Be sure to visit that site to download the runnable code examples that complement this book.

Register your copy of *Core Java for the Impatient, Third Edition,* on the InformIT site for convenient access to updates and/or corrections as they become available. To start the registration process, go to informit.com/register and log in or create an account. Enter the product ISBN (9780138052102) and click Submit. Look on the Registered Products tab for an Access Bonus Content link next to this product, and follow that link to access any available bonus materials. If you would like to be notified of exclusive offers on new editions and updates, please check the box to receive email from us.

## Acknowledgments

My thanks go, as always, to my editor Greg Doench, who enthusiastically supported the vision of a short book that gives a fresh introduction to Java. Dmitry Kirsanov and Alina Kirsanova once again turned an XHTML manuscript into an attractive book with amazing speed and attention to detail. My special gratitude goes to the excellent team of reviewers for all editions who spotted many errors and gave thoughtful suggestions for improvement. They are: Andres Almiray, Gail Anderson, Paul Anderson, Marcus Biel, Brian Goetz, Mark Lawrence, Doug Lea, Simon Ritter, Yoshiki Shibata, and Christian Ullenboom.

Cay Horstmann Berlin August 2022 This page intentionally left blank

## About the Author

**Cay S. Horstmann** is the author of *JavaScript for the Impatient* and *Scala for the Impatient* (both from Addison-Wesley), is principal author of *Core Java, Volumes I and II, Twelfth Edition* (Pearson, 2022), and has written a dozen other books for professional programmers and computer science students. He is professor emeritus of computer science at San Jose State University and is a Java Champion.

## Fundamental Programming Structures

#### **Topics in This Chapter**

- 1.1 Our First Program page 2
- 1.2 Primitive Types page 11
- 1.3 Variables page 14
- 1.4 Arithmetic Operations page 17
- 1.5 Strings page 25
- 1.6 Input and Output page 35
- 1.7 Control Flow page 38
- 1.8 Arrays and Array Lists page 46
- 1.9 Functional Decomposition page 56
- Exercises page 58

## Processing Input and Output

#### **Topics in This Chapter**

- 9.1 Input/Output Streams, Readers, and Writers page 302
- 9.2 Paths, Files, and Directories page 312
- 9.3 HTTP Connections page 320
- 9.4 Regular Expressions page 323
- 9.5 Serialization page 333
- Exercises page 344

## Chapter

## 9

In this chapter, you will learn how to work with files, directories, and web pages, and how to read and write data in binary and text format. You will also find a discussion of regular expressions, which can be useful for processing input. (I couldn't think of a better place to handle that topic, and apparently neither could the Java developers—when the regular expression API specification was proposed, it was attached to the specification request for "new I/O" features.) Finally, this chapter shows you the object serialization mechanism that lets you store objects as easily as you can store text or numeric data.

The key points of this chapter are:

- 1. An InputStream is a source of bytes, and an OutputStream is a destination for bytes.
- 2. A Reader reads characters, and a Writer writes them. Be sure to specify a character encoding.
- 3. The Files class has convenience methods for reading all bytes or lines of a file.
- 4. The DataInput and DataOutput interfaces have methods for writing numbers in binary format.
- 5. Use a RandomAccessFile or a memory-mapped file for random access.

- 6. A Path is an absolute or relative sequence of path components in a file system. Paths can be combined (or "resolved").
- 7. Use the methods of the Files class to copy, move, or delete files and to recursively walk through a directory tree.
- 8. To read or update a ZIP file, use a ZIP file system.
- 9. You can read the contents of a web page with the URL class. To read metadata or write data, use the URLConnection class.
- 10. With the Pattern and Matcher classes, you can find all matches of a regular expression in a string, as well as the captured groups for each match.
- 11. The serialization mechanism can save and restore any object implementing the Serializable interface, provided its instance variables are also serializable.

#### 9.1 Input/Output Streams, Readers, and Writers

In the Java API, a source from which one can read bytes is called an *input stream*. The bytes can come from a file, a network connection, or an array in memory. (These streams are unrelated to the streams of Chapter 8.) Similarly, a destination for bytes is an *output stream*. In contrast, *readers* and *writers* consume and produce sequences of *characters*. In the following sections, you will learn how to read and write bytes and characters.

#### 9.1.1 Obtaining Streams

The easiest way to obtain a stream from a file is with the static methods

```
InputStream in = Files.newInputStream(path);
OutputStream out = Files.newOutputStream(path);
```

Here, path is an instance of the Path class that is covered in Section 9.2.1, "Paths" (page 312). It describes a path in a file system.

If you have a URL, you can read its contents from the input stream returned by the openStream method of the URL class:

```
var url = new URL("https://horstmann.com/index.html");
InputStream in = url.openStream();
```

Section 9.3, "HTTP Connections" (page 320) shows how to send data to a web server.

The ByteArrayInputStream class lets you read from an array of bytes.

```
byte[] bytes = ...;
var in = new ByteArrayInputStream(bytes);
Read from in
```

Conversely, to send output to a byte array, use a ByteArrayOutputStream:

```
var out = new ByteArrayOutputStream();
Write to out
byte[] bytes = out.toByteArray();
```

#### 9.1.2 Reading Bytes

The InputStream class has a method to read a single byte:

```
InputStream in = ...;
int b = in.read();
```

This method either returns the byte as an integer between 0 and 255, or returns -1 if the end of input has been reached.



**CAUTION:** The Java byte type has values between -128 and 127. You can cast the returned value into a byte *after* you have checked that it is not -1.

More commonly, you will want to read the bytes in bulk. The most convenient method is the readAllBytes method that simply reads all bytes from the stream into a byte array:

```
byte[] bytes = in.readAllBytes();
```



**TIP:** If you want to read all bytes from a file, call the convenience method

byte[] bytes = Files.readAllBytes(path);

If you want to read some, but not all bytes, provide a byte array and call the readNBytes method:

```
var bytes = new byte[len];
int bytesRead = in.readNBytes(bytes, offset, n);
```

The method reads until either n bytes are read or no further input is available, and returns the actual number of bytes read. If no input was available at all, the methods return -1.

**NOTE:** There is also a read(byte[], int, int) method whose description seems exactly like readNBytes. The difference is that the read method only attempts to read the bytes and returns immediately with a lower count if it fails. The readNBytes method keeps calling read until all requested bytes have been obtained or read returns -1.

Finally, you can skip bytes:

```
long bytesToSkip = ...;
in.skipNBytes(bytesToSkip);
```

#### 9.1.3 Writing Bytes

The write methods of an OutputStream can write individual bytes and byte arrays.

```
OutputStream out = ...;
int b = ...;
out.write(b);
byte[] bytes = ...;
out.write(bytes);
out.write(bytes, start, length);
```

When you are done writing a stream, you must *close* it in order to commit any buffered output. This is best done with a try-with-resources statement:

```
try (OutputStream out = ...) {
    out.write(bytes);
}
```

If you need to copy an input stream to an output stream, use the InputStream.transferTo method:

```
try (InputStream in = ...; OutputStream out = ...) {
    in.transferTo(out);
}
```

Both streams need to be closed after the call to transferTo. It is best to use a try-with-resources statement, as in the code example.

To write a file to an OutputStream, call

Files.copy(path, out);

Conversely, to save an InputStream to a file, call

```
Files.copy(in, path, StandardCopyOption.REPLACE_EXISTING);
```

#### 9.1.4 Character Encodings

Input and output streams are for sequences of bytes, but in many cases you will work with text—that, is, sequences of characters. It then matters how characters are encoded into bytes.

Java uses the Unicode standard for characters. Each character or "code point" has a 21-bit integer number. There are different *character encodings*—methods for packaging those 21-bit numbers into bytes.

The most common encoding is UTF-8, which encodes each Unicode code point into a sequence of one to four bytes (see Table 9-1). UTF-8 has the advantage that the characters of the traditional ASCII character set, which contains all characters used in English, only take up one byte each.

Character range	Encoding
07F	$\theta a_6 a_5 a_4 a_3 a_2 a_1 a_0$
807FF	$110a_{10}a_9a_8a_7a_6$ $10a_5a_4a_3a_2a_1a_0$
800FFFF	1110a $_{15}a_{14}a_{13}a_{12}$ 10a $_{11}a_{10}a_{9}a_{8}a_{7}a_{6}$ 10a $_{5}a_{4}a_{3}a_{2}a_{1}a_{0}$
1000010FFFF	11110a $_{20}$ a $_{19}$ a $_{18}$ 10a $_{17}$ a $_{16}$ a $_{15}$ a $_{14}$ a $_{13}$ a $_{12}$ 10a $_{11}$ a $_{10}$ a $_{9}$ a $_{8}$ a $_{7}$ a $_{6}$ 10a $_{5}$ a $_{4}$ a $_{3}$ a $_{2}$ a $_{1}$ a $_{0}$

Table 9-1 UTF-8 Encoding

Another common encoding is UTF-16, which encodes each Unicode code point into one or two 16-bit values (see Table 9-2). This is the encoding used in Java strings. Actually, there are two forms of UTF-16, called "big-endian" and "little-endian." Consider the 16-bit value 0x2122. In big-endian format, the more significant byte comes first: 0x21 followed by 0x22. In little-endian format, it is the other way around: 0x22 0x21. To indicate which of the two is used, a file can start with the "byte order mark," the 16-bit quantity 0xFEFF. A reader can use this value to determine the byte order and discard it.

Character range	Encoding
0FFFF	$a_{15}a_{14}a_{13}a_{12}a_{11}a_{10}a_{9}a_{8}a_{7}a_{6}a_{5}a_{4}a_{3}a_{2}a_{1}a_{0}$
1000010FFFF	110110b_{19}b_{18}b_{17}b_{16}a_{15}a_{14}a_{13}a_{12}a_{11}a_{10} 110111a_9a_8a_7a_6a_5a_4a_3a_2a_1a_0 where $b_{19}b_{18}b_{17}b_{16} = a_{20}a_{19}a_{18}a_{17}a_{16} - 1$

Table 9-2 UTF-16 Encoding

**CAUTION:** Some programs, including Microsoft Notepad, add a byte order mark at the beginning of UTF-8 encoded files. Clearly, this is unnecessary since there are no byte ordering issues in UTF-8. But the Unicode standard allows it, and even suggests that it's a pretty good idea since it leaves little doubt about the encoding. It is supposed to be removed when reading a UTF-8 encoded file. Sadly, Java does not do that, and bug reports against this issue are closed as "will not fix." Your best bet is to strip out any leading \uFEFF that you find in your input.

In addition to the UTF encodings, there are partial encodings that cover a character range suitable for a given user population. For example, ISO 8859-1 is a one-byte code that includes accented characters used in Western European languages. Shift\_JIS is a variable-length code for Japanese characters. A large number of these encodings are still in widespread use.

There is no reliable way to automatically detect the character encoding from a stream of bytes. Some API methods let you use the "default charset"—the character encoding that is preferred by the operating system of the computer. Is that the same encoding that is used by your source of bytes? These bytes may well originate from a different part of the world. Therefore, you should always explicitly specify the encoding. For example, when reading a web page, check the Content-Type header.

**NOTE:** The platform encoding is returned by the static method Charset.defaultCharset. The static method Charset.availableCharsets returns all available Charset instances, as a map from canonical names to Charset objects.

**CAUTION:** The Oracle implementation has a system property file.encoding for overriding the platform default. This is not an officially supported property, and it is not consistently followed by all parts of Oracle's implementation of the Java library. You should not set it.

The StandardCharsets class has static variables of type Charset for the character encodings that every Java virtual machine must support:

```
StandardCharsets.UTF_8
StandardCharsets.UTF_16
StandardCharsets.UTF_16BE
StandardCharsets.UTF_16LE
```
StandardCharsets.ISO\_8859\_1
StandardCharsets.US\_ASCII

To obtain the Charset for another encoding, use the static forName method:

Charset shiftJIS = Charset.forName("Shift\_JIS");

Use the Charset object when reading or writing text. For example, you can turn an array of bytes into a string as

var contents = new String(bytes, StandardCharsets.UTF\_8);



**TIP:** Some methods allow you to specify a character encoding with a Charset object or a string. Choose the StandardCharsets constants, so you don't have to worry about the correct spelling. For example, new String(bytes, "UTF 8") is not acceptable and will cause a runtime error.



**CAUTION:** Some methods (such as the String(byte[]) constructor) use the default platform encoding if you don't specify any; others (such as Files.readAllLines) use UTF-8.

### 9.1.5 Text Input

To read text input, use a Reader. You can obtain a Reader from any input stream with the InputStreamReader adapter:

```
InputStream inStream = ...;
var in = new InputStreamReader(inStream, charset);
```

If you want to process the input one UTF-16 code unit at a time, you can call the read method:

```
int ch = in.read();
```

The method returns a code unit between 0 and 65536, or -1 at the end of input.

That is not very convenient. Here are several alternatives.

With a short text file, you can read it into a string like this:

String content = Files.readString(path, charset);

But if you want the file as a sequence of lines, call

List<String> lines = Files.readAllLines(path, charset);

If the file is large, process them lazily as a Stream<String>:

```
try (Stream<String> lines = Files.lines(path, charset)) {
    ...
}
```

**NOTE:** If an IOException occurs as the stream fetches the lines, that exception is wrapped into an UncheckedIOException which is thrown out of the stream operation. (This subterfuge is necessary because stream operations are not declared to throw any checked exceptions.)

To read numbers or words from a file, use a Scanner, as you have seen in Chapter 1. For example,

```
var in = new Scanner(path, StandardCharsets.UTF_8);
while (in.hasNextDouble()) {
    double value = in.nextDouble();
    ...
}
```



**TIP:** To read alphabetic words, set the scanner's delimiter to a regular expression that is the complement of what you want to accept as a token. For example, after calling

```
in.useDelimiter("\\PL+");
```

the scanner reads in letters since any sequence of nonletters is a delimiter. See Section 9.4.1, "The Regular Expression Syntax" (page 324) for the regular expression syntax.

You can then obtain a stream of all words as

Stream<String> words = in.tokens();

If your input does not come from a file, wrap the InputStream into a BufferedReader:

```
try (var reader = new BufferedReader(new InputStreamReader(url.openStream()))) {
   Stream<String> lines = reader.lines();
   ...
}
```

A BufferedReader reads input in chunks for efficiency. (Oddly, this is not an option for basic readers.) It has methods readLine to read a single line and lines to yield a stream of lines.

If a method asks for a Reader and you want it to read from a file, call Files.newBufferedReader(path, charset).

#### 9.1.6 Text Output

To write text, use a Writer. With the write method, you can write strings. You can turn any output stream into a Writer:

```
OutputStream outStream = ...;
var out = new OutputStreamWriter(outStream, charset);
out.write(str);
```

To get a writer for a file, use

```
Writer out = Files.newBufferedWriter(path, charset);
```

It is more convenient to use a PrintWriter, which has the print, println, and printf that you have always used with System.out. Using those methods, you can print numbers and use formatted output.

If you write to a file, construct a PrintWriter like this:

var out = new PrintWriter(Files.newBufferedWriter(path, charset));

If you write to another stream, use

```
var out = new PrintWriter(new OutputStreamWriter(outStream, charset));
```

**NOTE:** System.out is an instance of PrintStream, not PrintWriter. This is a relic from the earliest days of Java. However, the print, println, and printf methods work the same way for the PrintStream and PrintWriter classes, using a character encoding for turning characters into bytes.

If you already have the text to write in a string, call

```
String content = ...;
Files.write(path, content.getBytes(charset));
```

or

```
Files.write(path, lines, charset);
```

Here, lines can be a Collection<String>, or even more generally, an Iterable<? extends CharSequence>.

To append to a file, use

```
Files.write(path, content.getBytes(charset), StandardOpenOption.APPEND);
Files.write(path, lines, charset, StandardOpenOption.APPEND);
```

```
CAUTION
```

**CAUTION:** When writing text with a partial character set such as ISO 8859-1, any unmappable characters are silently changed to a "replacement"—in most cases, either the ? character or the Unicode replacement character U+FFFD.

Sometimes, a library method wants a Writer to write output. If you want to capture that output in a string, hand it a StringWriter. Or, if it wants a PrintWriter, wrap the StringWriter like this:

```
var writer = new StringWriter();
throwable.printStackTrace(new PrintWriter(writer));
String stackTrace = writer.toString();
```

### 9.1.7 Reading and Writing Binary Data

The DataInput interface declares the following methods for reading a number, a character, a boolean value, or a string in binary format:

```
byte readByte()
int readUnsignedByte()
char readChar()
short readShort()
int readUnsignedShort()
int readInt()
long readLong()
float readFloat()
double readDouble()
void readFully(byte[] b)
```

The DataOutput interface declares corresponding write methods.

NOTE: These methods read and write numbers in big-endian format.



**CAUTION:** There are also readUTF/writeUTF methods that use a "modified UTF-8" format. These methods are *not* compatible with regular UTF-8, and are only useful for JVM internals.

The advantage of binary I/O is that it is fixed width and efficient. For example, writeInt always writes an integer as a big-endian 4-byte binary quantity regardless of the number of digits. The space needed is the same for each value of a given type, which speeds up random access. Also, reading binary data is faster than parsing text. The main drawback is that the resulting files cannot be easily inspected in a text editor.

You can use the DataInputStream and DataOutputStream adapters with any stream. For example,

```
DataInput in = new DataInputStream(Files.newInputStream(path));
DataOutput out = new DataOutputStream(Files.newOutputStream(path));
```

#### 9.1.8 Random–Access Files

The RandomAccessFile class lets you read or write data anywhere in a file. You can open a random-access file either for reading only or for both reading and

writing; specify the option by using the string "r" (for read access) or "rw" (for read/write access) as the second argument in the constructor. For example,

```
var file = new RandomAccessFile(path.toString(), "rw");
```

A random-access file has a *file pointer* that indicates the position of the next byte to be read or written. The seek method sets the file pointer to an arbitrary byte position within the file. The argument to seek is a long integer between zero and the length of the file (which you can obtain with the length method). The getFilePointer method returns the current position of the file pointer.

The RandomAccessFile class implements both the DataInput and DataOutput interfaces. To read and write numbers from a random-access file, use methods such as readInt/writeInt that you saw in the preceding section. For example,

```
int value = file.readInt();
file.seek(file.getFilePointer() - 4);
file.writeInt(value + 1);
```

### 9.1.9 Memory-Mapped Files

Memory-mapped files provide another, very efficient approach for random access that works well for very large files. However, the API for data access is completely different from that of input/output streams. First, get a *channel* to the file:

```
FileChannel channel = FileChannel.open(path,
StandardOpenOption.READ, StandardOpenOption.WRITE)
```

Then, map an area of the file (or, if it is not too large, the entire file) into memory:

```
ByteBuffer buffer = channel.map(FileChannel.MapMode.READ_WRITE,
 0, channel.size());
```

Use methods get, getInt, getDouble, and so on to read values, and the equivalent put methods to write values.

```
int offset = ...;
int value = buffer.getInt(offset);
buffer.put(offset, value + 1);
```

At some point, and certainly when the channel is closed, these changes are written back to the file.

bi

**NOTE:** By default, the methods for reading and writing numbers use big-endian byte order. You can change the byte order with the command buffer.order(ByteOrder.LITTLE\_ENDIAN);

### 9.1.10 File Locking

When multiple simultaneously executing programs modify the same file, they need to communicate in some way, or the file can easily become damaged. File locks can solve this problem.

Suppose your application saves a configuration file with user preferences. If a user invokes two instances of the application, it could happen that both of them want to write the configuration file at the same time. In that situation, the first instance should lock the file. When the second instance finds the file locked, it can decide to wait until the file is unlocked or simply skip the writing process. To lock a file, call either the lock or tryLock methods of the FileChannel class.

```
FileChannel channel = FileChannel.open(path, StandardOpenOption.WRITE);
FileLock lock = channel.lock();
```

or

FileLock lock = channel.tryLock();

The first call blocks until the lock becomes available. The second call returns immediately, either with the lock or with null if the lock is not available. The file remains locked until the lock or the channel is closed. It is best to use a try-with-resources statement:

```
try (FileLock lock = channel.lock()) {
    ...
}
```

## 9.2 Paths, Files, and Directories

You have already seen Path objects for specifying file paths. In the following sections, you will see how to manipulate these objects and how to work with files and directories.

#### 9.2.1 Paths

A Path is a sequence of directory names, optionally followed by a file name. The first component of a path may be a root component, such as / or C:\. The permissible root components depend on the file system. A path that starts with a root component is *absolute*. Otherwise, it is *relative*. For example, here we construct an absolute and a relative path. For the absolute path, we assume we are running on a Unix-like file system.

```
Path absolute = Path.of("/", "home", "cay");
Path relative = Path.of("myapp", "conf", "user.properties");
```

The static Path.of method receives one or more strings, which it joins with the path separator of the default file system (/ for a Unix-like file system, \ for Windows). It then parses the result, throwing an InvalidPathException if the result is not a valid path in the given file system. The result is a Path object.

You can also provide a string with separators to the Path.of method:

```
Path homeDirectory = Path.of("/home/cay");
```

**NOTE:** A Path object does not have to correspond to a file that actually exists. It is merely an abstract sequence of names. To create a file, first make a path, then call a method to create the corresponding file—see Section 9.2.2, "Creating Files and Directories" (page 314).

It is very common to combine or "resolve" paths. The call p.resolve(q) returns a path according to these rules:

- If q is absolute, then the result is q.
- Otherwise, the result is "p then q," according to the rules of the file system.

For example, suppose your application needs to find its configuration file relative to the home directory. Here is how you can combine the paths:

```
Path workPath = homeDirectory.resolve("myapp/work");
    // Same as homeDirectory.resolve(Path.of("myapp/work"));
```

There is a convenience method resolveSibling that resolves against a path's parent, yielding a sibling path. For example, if workPath is /home/cay/myapp/work, the call

```
Path tempPath = workPath.resolveSibling("temp");
```

yields /home/cay/myapp/temp.

The opposite of resolve is relativize. The call p.relativize(r) yields the path q which, when resolved with p, yields r. For example,

Path.of("/home/cay").relativize(Path.of("/home/fred/myapp"))

yields ../fred/myapp, assuming we have a file system that uses .. to denote the parent directory.

The normalize method removes any redundant . and .. components (or whatever the file system may deem redundant). For example, normalizing the path /home/cay/../fred/./myapp yields /home/fred/myapp.

The toAbsolutePath method yields the absolute path of a given path. If the path is not already absolute, it is resolved against the "user directory"—that is, the directory from which the JVM was invoked. For example, if you launched

a program from /home/cay/myapp, then Path.of("config").toAbsolutePath() returns /home/cay/myapp/config.

The Path interface has methods for taking paths apart and combining them with other paths. This code sample shows some of the most useful ones:

The Path interface extends the Iterable<Path> element, so you can iterate over the name components of a Path with an enhanced for loop:

```
for (Path component : path) {
    ...
}
```

**NOTE:** Occasionally, you may need to interoperate with legacy APIs that use the File class instead of the Path interface. The Path interface has a toFile method, and the File class has a toPath method.

#### 9.2.2 Creating Files and Directories

To create a new directory, call

```
Files.createDirectory(path);
```

All but the last component in the path must already exist. To create intermediate directories as well, use

Files.createDirectories(path);

You can create an empty file with

Files.createFile(path);

The call throws an exception if the file already exists. The checks for existence and the creation are atomic. If the file doesn't exist, it is created before anyone else has a chance to do the same.

The call Files.exists(path) checks whether the given file or directory exists. To test whether it is a directory or a "regular" file (that is, with data in it, not something like a directory or symbolic link), call the static methods isDirectory and isRegularFile of the Files class.

There are convenience methods for creating a temporary file or directory in a given or system-specific location.

```
Path tempFile = Files.createTempFile(dir, prefix, suffix);
Path tempFile = Files.createTempFile(prefix, suffix);
Path tempDir = Files.createTempDirectory(dir, prefix);
Path tempDir = Files.createTempDirectory(prefix);
```

Here, dir is a Path, and prefix/suffix are strings which may be null. For example, the call Files.createTempFile(null, ".txt") might return a path such as /tmp/1234405522364837194.txt.

#### 9.2.3 Copying, Moving, and Deleting Files

To copy a file from one location to another, simply call

```
Files.copy(fromPath, toPath);
```

To move a file (that is, copy and delete the original), call

Files.move(fromPath, toPath);

You can also use this command to move an empty directory.

The copy or move will fail if the target exists. If you want to overwrite an existing target, use the REPLACE\_EXISTING option. If you want to copy all file attributes, use the COPY\_ATTRIBUTES option. You can supply both like this:

```
Files.copy(fromPath, toPath, StandardCopyOption.REPLACE_EXISTING,
StandardCopyOption.COPY_ATTRIBUTES);
```

You can specify that a move should be atomic. Then you are assured that either the move completed successfully, or the source continues to be present. Use the ATOMIC\_MOVE option:

```
Files.move(fromPath, toPath, StandardCopyOption.ATOMIC_MOVE);
```

See Table 9-3 for a summary of the options that are available for file operations.

Finally, to delete a file, simply call

```
Files.delete(path);
```

This method throws an exception if the file doesn't exist, so instead you may want to use

```
boolean deleted = Files.deleteIfExists(path);
```

The deletion methods can also be used to remove an empty directory.

Option	Description		
StandardOpenOption; use with newBufferedWriter, newInputStream, newOutputStream, write			
READ	Open for reading.		
WRITE	Open for writing.		
APPEND	If opened for writing, append to the end of the file.		
TRUNCATE_EXISTING	If opened for writing, remove existing contents.		
CREATE_NEW	Create a new file and fail if it exists.		
CREATE	Atomically create a new file if it doesn't exist.		
DELETE_ON_CLOSE	Make a "best effort" to delete the file when it is closed.		
SPARSE	A hint to the file system that this file will be sparse.		
DSYNC   SYNC	Requires that each update to the file data   data and metadata be written synchronously to the storage device.		
StandardCopyOption; use with copy, move			
ATOMIC_MOVE	Move the file atomically.		
COPY_ATTRIBUTES	Copy the file attributes.		
REPLACE_EXISTING	Replace the target if it exists.		
LinkOption; use with all of the above methods and exists, isDirectory, isRegularFile			
NOFOLLOW_LINKS	Do not follow symbolic links.		
FileVisitOption; use with find, walk, walkFileTree			
FOLLOW_LINKS	Follow symbolic links.		

#### Table 9-3 Standard Options for File Operations

### 9.2.4 Visiting Directory Entries

The static Files.list method returns a Stream<Path> that reads the entries of a directory. The directory is read lazily, making it possible to efficiently process directories with huge numbers of entries.

Since reading a directory involves a system resource that needs to be closed, you should use a try-with-resources block:

```
try (Stream<Path> entries = Files.list(pathToDirectory)) {
    ...
}
```

The list method does not enter subdirectories. To process all descendants of a directory, use the Files.walk method instead.

```
try (Stream<Path> entries = Files.walk(pathToRoot)) {
    // Contains all descendants, visited in depth-first order
}
```

Here is a sample traversal of the unzipped src.zip tree:

```
iava
java/nio
java/nio/DirectCharBufferU.java
java/nio/ByteBufferAsShortBufferRL.java
java/nio/MappedByteBuffer.java
. . .
java/nio/ByteBufferAsDoubleBufferB.java
java/nio/charset
java/nio/charset/CoderMalfunctionError.java
java/nio/charset/CharsetDecoder.java
java/nio/charset/UnsupportedCharsetException.java
java/nio/charset/spi
java/nio/charset/spi/CharsetProvider.java
java/nio/charset/StandardCharsets.java
java/nio/charset/Charset.java
java/nio/charset/CoderResult.java
java/nio/HeapFloatBufferR.java
. . .
```

As you can see, whenever the traversal yields a directory, it is entered before continuing with its siblings.

You can limit the depth of the tree that you want to visit by calling Files.walk(pathToRoot, depth). Both walk methods have a varargs parameter of type FileVisitOption..., but there is only one option you can supply: FOLLOW\_LINKS to follow symbolic links.

**NOTE:** If you filter the paths returned by walk and your filter criterion involves the file attributes stored with a directory, such as size, creation time, or type (file, directory, symbolic link), then use the find method instead of walk. Call that method with a predicate function that accepts a path and a BasicFileAttributes object. The only advantage is efficiency. Since the directory is being read anyway, the attributes are readily available.

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This code fragment uses the Files.walk method to copy one directory to another:

```
Files.walk(source).forEach(p -> {
    try {
        Path q = target.resolve(source.relativize(p));
        if (Files.isDirectory(p))
            Files.createDirectory(q);
        else
            Files.copy(p, q);
    } catch (IOException ex) {
        throw new UncheckedIOException(ex);
    }
});
```

Unfortunately, you cannot easily use the Files.walk method to delete a tree of directories since you need to first visit the children before deleting the parent. In that case, use the walkFileTree method. It requires an instance of the FileVisitor interface. Here is when the file visitor gets notified:

1. Before a directory is processed:

FileVisitResult preVisitDirectory(T dir, IOException ex)

2. When a file is encountered:

FileVisitResult visitFile(T path, BasicFileAttributes attrs)

- When an exception occurs in the visitFile method: FileVisitResult visitFileFailed(T path, IOException ex)
- 4. After a directory is processed:

FileVisitResult postVisitDirectory(T dir, IOException ex)

In each case, the notification method returns one of the following results:

- Continue visiting the next file: FileVisitResult.CONTINUE
- Continue the walk, but without visiting the entries in this directory: FileVisitResult.SKIP\_SUBTREE
- Continue the walk, but without visiting the siblings of this file: FileVisitResult.SKIP\_SIBLINGS
- Terminate the walk: FileVisitResult.TERMINATE

If any of the methods throws an exception, the walk is also terminated, and that exception is thrown from the walkFileTree method.

The SimpleFileVisitor class implements this interface, continuing the iteration at each point and rethrowing any exceptions.

Here is how you can delete a directory tree:

```
Files.walkFileTree(root, new SimpleFileVisitor<Path>() {
    public FileVisitResult visitFile(Path file,
        BasicFileAttributes attrs) throws IOException {
        Files.delete(file);
        return FileVisitResult.CONTINUE;
    }
    public FileVisitResult postVisitDirectory(Path dir,
            IOException ex) throws IOException {
            if (ex != null) throw ex;
            Files.delete(dir);
            return FileVisitResult.CONTINUE;
    }
});
```

### 9.2.5 ZIP File Systems

The Paths class looks up paths in the default file system—the files on the user's local disk. You can have other file systems. One of the more useful ones is a ZIP file system. If zipname is the name of a ZIP file, then the call

FileSystem zipfs = FileSystems.newFileSystem(Path.of(zipname));

establishes a file system that contains all files in the ZIP archive. It's an easy matter to copy a file out of that archive if you know its name:

```
Files.copy(zipfs.getPath(sourceName), targetPath);
```

Here, zipfs.getPath is the analog of Path.of for an arbitrary file system.

To list all files in a ZIP archive, walk the file tree:

```
Files.walk(zipfs.getPath("/")).forEach(p -> {
    Process p
});
```

You have to work a bit harder to create a new ZIP file. Here is the magic incantation:

Ļ

**NOTE:** There is an older API for working with ZIP archives, with classes ZipInputStream and ZipOutputStream, but it's not as easy to use as the one described in this section.

### 9.3 HTTP Connections

You can read from a URL by using the input stream returned from URL.getInputStream method. However, if you want additional information about a web resource, or if you want to write data, you need more control over the process than the URL class provides. The URLConnection class was designed before HTTP was the universal protocol of the Web. It provides support for a number of protocols, but its HTTP support is somewhat cumbersome. When the decision was made to support HTTP/2, it became clear that it would be best to provide a modern client interface instead of reworking the existing API. The HttpClient provides a more convenient API and HTTP/2 support.

In the following sections, I provide a cookbook for using the HttpURLConnection class, and then give an overview of the API.

### 9.3.1 The URLConnection and HttpURLConnection Classes

To use the URLConnection class, follow these steps:

1. Get an URLConnection object:

URLConnection connection = url.openConnection();

For an HTTP URL, the returned object is actually an instance of HttpURLConnection.

2. If desired, set request properties:

connection.setRequestProperty("Accept-Charset", "UTF-8, ISO-8859-1");

If a key has multiple values, separate them by commas.

3. To send data to the server, call

```
connection.setDoOutput(true);
try (OutputStream out = connection.getOutputStream()) {
    // Write to out
}
```

 If you want to read the response headers and you haven't called getOutputStream, call

```
connection.connect();
```

Then query the header information:

Map<String, List<String>> headers = connection.getHeaderFields();

For each key, you get a list of values since there may be multiple header fields with the same key.

```
5. Read the response:
```

```
try (InputStream in = connection.getInputStream()) {
    // Read from in
}
```

A common use case is to post form data. The URLConnection class automatically sets the content type to application/x-www-form-urlencoded when writing data to a HTTP URL, but you need to encode the name/value pairs:

```
URL url = ...;
URLConnection connection = url.openConnection();
connection.setDoOutput(true);
try (var out = new OutputStreamWriter(
        connection.getOutputStream(), StandardCharsets.UTF_8)) {
   Map<String, String> postData = ...;
    boolean first = true;
    for (Map.Entry<String, String> entry : postData.entrySet()) {
        if (first) first = false;
        else out.write("&");
        out.write(URLEncoder.encode(entry.getKey(), "UTF-8"));
        out.write("=");
        out.write(URLEncoder.encode(entry.getValue(), "UTF-8"));
    }
}
try (InputStream in = connection.getInputStream()) {
}
```

### 9.3.2 The HTTP Client API

The HTTP client API provides another mechanism for connecting to a web server which is simpler than the URLConnection class with its rather fussy set of stages. More importantly, the implementation supports HTTP/2.

An  $\ensuremath{\mathsf{HttpClient}}$  can issue requests and receive responses. You get a client by calling

```
HttpClient client = HttpClient.newHttpClient();
```

Alternatively, if you need to configure the client, use a builder API like this:

```
HttpClient client = HttpClient.newBuilder()
    .followRedirects(HttpClient.Redirect.ALWAYS)
    .build();
```

That is, you get a builder, call methods to customize the item that is going to be built, and then call the build method to finalize the building process. This is a common pattern for constructing immutable objects.

Follow the same pattern for formulating requests. Here is a GET request:

```
HttpRequest request = HttpRequest.newBuilder()
    .uri(new URI("https://horstmann.com"))
    .GET()
    .build();
```

The URI is the "uniform resource identifier" which is, when using HTTP, the same as a URL. However, in Java, the URL class has methods for actually opening a connection to a URL, whereas the URI class is only concerned with the syntax (scheme, host, port, path, query, fragment, and so on).

When sending the request, you have to tell the client how to handle the response. If you just want the body as a string, send the request with a HttpResponse.BodyHandlers.ofString(), like this:

The HttpResponse class is a template whose type denotes the type of the body. You get the response body string simply as

```
String bodyString = response.body();
```

There are other response body handlers that get the response as a byte array or a file. One can hope that eventually the JDK will support JSON and provide a JSON handler.

With a POST request, you similarly need a "body publisher" that turns the request data into the data that is being posted. There are body publishers for strings, byte arrays, and files. Again, one can hope that the library designers will wake up to the reality that most POST requests involve form data or JSON objects, and provide appropriate publishers.

In the meantime, to send a form post, you need to URL-encode the request data, just like in the preceding section.

```
Map<String, String> postData = ...;
boolean first = true;
var body = new StringBuilder();
for (Map.Entry<String, String> entry : postData.entrySet()) {
    if (first) first = false;
    else body.append("&");
    body.append(URLEncoder.encode(entry.getKey(), "UTF-8"));
    body.append(URLEncoder.encode(entry.getValue(), "UTF-8"));
    body.append(URLEncoder.encode(entry.getValue(), "UTF-8"));
}
HttpRequest request = HttpRequest.newBuilder()
.uri(httpUrlString)
.header("Content-Type", "application/x-www-form-urlencoded")
.POST(HttpRequest.BodyPublishers.ofString(body.toString()))
.build();
```

Note that, unlike with the URLConnection class, you need to specify the content type for forms.

Similarly, for posting JSON data, you specify the content type and provide a JSON string.

The HttpResponse object also yields the status code and the response headers.

```
int status = response.statusCode();
HttpHeaders responseHeaders = response.headers();
```

You can turn the HttpHeaders object into a map:

Map<String, List<String>> headerMap = responseHeaders.map();

The map values are lists since in HTTP, each key can have multiple values.

If you just want the value of a particular key, and you know that there won't be multiple values, call the firstValue method:

Optional<String> lastModified = headerMap.firstValue("Last-Modified");

You get the response value or an empty optional if none was supplied.

**TIP:** To enable logging for the HttpClient, add this line to net.properties in your JDK:

jdk.httpclient.HttpClient.log=all

Instead of all, you can specify a comma-separated list of headers, requests, content, errors, ssl, trace, and frames, optionally followed by :control, :data, :window, or :all. Don't use any spaces.

Then set the logging level for the logger named jdk.httpclient.HttpClient to INFO, for example by adding this line to the logging.properties file in your JDK:

```
jdk.httpclient.HttpClient.level=INFO
```

### 9.4 Regular Expressions

Regular expressions specify string patterns. Use them whenever you need to locate strings that match a particular pattern. For example, suppose you want to find hyperlinks in an HTML file. You need to look for strings of the pattern <a href="..."><a href="..."</a></a></a>

In the following sections, you will see the regular expression syntax used by the Java API, and how to put regular expressions to work.

### 9.4.1 The Regular Expression Syntax

In a regular expression, a character denotes itself unless it is one of the reserved characters

```
. * + ? { | ( ) [ \ ^ $
```

For example, the regular expression Java only matches the string Java.

The symbol . matches any single character. For example, .a.a matches Java and data.

The \* symbol indicates that the preceding constructs may be repeated 0 or more times; for a +, it is 1 or more times. A suffix of ? indicates that a construct is optional (0 or 1 times). For example, be+s? matches be, bee, and bees. You can specify other multiplicities with { } (see Table 9-4).

A | denotes an alternative: .(oo|ee)f matches beef or woof. Note the parentheses—without them, .oo|eef would be the alternative between .oo and eef. Parentheses are also used for grouping—see Section 9.4.4, "Groups" (page 330).

A *character class* is a set of character alternatives enclosed in brackets, such as [Jj], [0-9], [A-Za-z], or [^0-9]. Inside a character class, the - denotes a range (all characters whose Unicode values fall between the two bounds). However, a - that is the first or last character in a character class denotes itself. A ^ as the first character in a character class denotes the complement (all characters except those specified).

There are many *predefined character classes* such as  $\d$  (digits) or  $p{Sc}$  (Unicode currency symbols). See Tables 9-4 and 9-5.

The characters ^ and \$ match the beginning and end of input.

If you need to have a literal  $. * + ? \{ | () | \rangle^{\$}$ , precede it by a backslash. Inside a character class, you only need to escape [ and \, provided you are careful about the positions of ] - ^. For example, []^-] is a class containing all three of them.

Alternatively, surround a string with Q and E. For example, ((\$0.99)) and Q(\$0.99) both match the string (\$0.99).

**TIP:** If you have a string that may contain some of the many special characters in the regular expression syntax, you can escape them all by calling Parse.quote(str). This simply surrounds the string with \Q and \E, but it takes care of the special case where str may contain \E.

Expression	Description	Example	
Characters			
<pre>c, not one of . * + ? {   ( ) [ \ ^ \$</pre>	The character <i>c</i> .	J	
	Any character except line terminators, or any character if the DOTALL flag is set.		
\x{p}	The Unicode code point with hex code $p$ .	\x{1D546}	
\uhhhh, \xhh, \00, \000, \0000	The UTF-16 code unit with the given hex or octal value.	\uFEFF	
\a, \e, \f, \n, \r, \t	Alert (\x{7}), escape (\x{1B}), form feed (\x{B}), newline (\x{A}), carriage return (\x{D}), tab (\x{9}).	\n	
\cc, where c is in [A-Z] or one of $\mathfrak{d} [ \ ]^2$ ?	The control character corresponding to the character <i>c</i> .	\cH is a backspace (\x{8}).	
c, where <i>c</i> is not in [A-Za-z0-9]	The character <i>c</i> .	\\	
\Q \E	Everything between the start and the end of the quotation.	$Q() \in \text{matches the string } ().$	
Character Classes			
$[C_1C_2]$ , where $C_i$ are characters, ranges <i>c</i> - <i>d</i> , or character classes	Any of the characters represented by $C_1$ , $C_2$ ,	[0-9+-]	
[^]	Complement of a character class.	[^\d\s]	
[86]	Intersection of character classes.	[\p{L}&&[^A-Za-z]]	

### Table 9-4 Regular Expression Syntax

(Continues)

Description	Example
A predefined character class (see Table 9-5); its complement.	\p{L} matches a Unicode letter, and so does \pL—you can omit braces around a single letter.
Digits ([0-9], or \d+ is a sequence of \p{Digit} when the digits. UNICODE_CHARACTER_CLASS flag is set); the complement.	
Word characters ([a-zA-Z0-9_], or Unicode word characters when the UNICODE_CHARACTER_CLASS flag is set); the complement.	
Spaces ([\n\r\t\f\x{B}], \s*,\s* is a comm or \p{IsWhite_Space} when the UNICODE_CHARACTER_CLASS flag is set); the complement.	
Horizontal whitespace, vertical whitespace, their complements.	
Any string from <i>X</i> , followed by any string from <i>Y</i> .	[1-9][0-9]* is a positive number without leading zero.
Any string from X or Y. http/ftp	
Captures the match of <i>X</i> .	'([^']*)' captures the quoted text.
The <i>n</i> th group.	(['"]).*\1 matches 'Fred' or "Fred" but not "Fred'.
	DescriptionA predefined character class (see Table 9-5); its complement.Digits ([0-9], or \p{Digit} when the UNICODE_CHARACTER_CLASS flag is set); the complement.Word characters ([a-ZA-Z0-9_], or Unicode word characters when the UNICODE_CHARACTER_CLASS flag is set); the complement.Spaces ([\n\r\t\f\x{B}], or \p{IsWhite_Space} when the UNICODE_CHARACTER_CLASS flag is set); the complement.Spaces ([\n\r\t\f\x{B}], or \p{IsWhite_Space} when the UNICODE_CHARACTER_CLASS flag is set); the complement.Horizontal whitespace, when the UNICODE_CHARACTER_CLASS flag is set); the complement.Any string from X, followed by any string from Y.Any string from X or Y.Captures the match of X.The nth group.

 Table 9-4
 Regular Expression Syntax (Continued)

(Continues)

Expression	Description	Example	
(? <name>X)</name>	Captures the match of $X$ with the given name.	'(? <id>[A-Za-z0-9]+)' captures the match with name id.</id>	
\k <name></name>	The group with the given name.	\k <id> matches the group with name id.</id>	
(?:X)	Use parentheses without capturing <i>X</i> .	In (?:http ftp)://(.*), the match after :// is \1.	
$(?f_1f_2:X),$ $(?f_1f_k:X),$ with $f_i$ in [dimsuUx]	Matches, but does not capture, $X$ with the given flags on or off (after -).	(?i:jpe?g) is a case-insensitive match.	
Other (?)	See the Pattern API documentation.		
Quantifiers			
X?	Optional X.	\+? is an optional + sign.	
X*, X*	0 or more $X$ , 1 or more $X$ .	[1-9][0-9]+ is an integer ≥ 10.	
$X{n}, X{n}, X{m,n}$	$X{n}, X{n}, X{m,n}$ <i>n</i> times <i>X</i> , at least <i>n</i> $[0-7]{1,3}$ are o times <i>X</i> , between <i>m</i> and octal digits. <i>n</i> times <i>X</i> .		
<i>Q</i> ?, where <i>Q</i> is a quantified expression	Reluctant quantifier, attempting the shortest match before trying longer matches.	.*(<.+?>).* captures the shortest sequence enclosed in angle brackets.	
<i>Q</i> +, where <i>Q</i> is a quantified expression	Possessive quantifier, taking the longest match without backtracking.	quantifier, '[^']*+' matches strings ongest match enclosed in single quotes ektracking. and fails quickly on strings without a closing quote.	
Boundary Matches			
^ \$	Beginning, end of input (or beginning, end of line in multiline mode).	<sup>^</sup> Java\$ matches the input or line Java.	

 Table 9-4
 Regular Expression Syntax (Continued)

(Continues)

Expression	Description	Example
\A \Z \z	Beginning of input, end of input, absolute end of input (unchanged in multiline mode).	
\b \B	Word boundary, nonword boundary.	\bJava\b matches the word Java.
\R	A Unicode line break.	
\6	The end of the previous match.	

Tab	le	9-4	Regular	Expression	Syntax	(Continued	)
-----	----	-----	---------	------------	--------	------------	---

Table 9-5 Predefined Character Classes \p{...}

Name	Description
posixClass	<i>posixClass</i> is one of Lower, Upper, Alpha, Digit, Alnum, Punct, Graph, Print, Cntrl, XDigit, Space, Blank, ASCII, interpreted as POSIX or Unicode class, depending on the UNICODE_CHARACTER_CLASS flag.
Is <i>Script</i> , sc= <i>Script</i> , script= <i>Script</i>	A script accepted by Character.UnicodeScript.forName.
<pre>InBlock, blk=Block, block=Block</pre>	A block accepted by Character.UnicodeBlock.forName.
<i>Category,</i> In <i>Category,</i> gc= <i>Category,</i> general_category= <i>Category</i>	A one- or two-letter name for a Unicode general category.
IsProperty	<i>Property</i> is one of Alphabetic, Ideographic, Letter, Lowercase, Uppercase, Titlecase, Punctuation, Control, White_Space, Digit, Hex_Digit, Join_Control, Noncharacter_Code_Point, Assigned.
java <i>Method</i>	Invokes the method Character.is <i>Method</i> (must not be deprecated).

### 9.4.2 Testing a Match

Generally, there are two ways to use a regular expression: Either you want to test whether a string conforms to the expression, or you want to find all matches of the expressions in a string.

In the first case, simply use the static matches method:

```
String regex = "[+-]?\\d+";
CharSequence input = ...;
if (Pattern.matches(regex, input)) {
    ...}
```

If you need to use the same regular expression many times, it is more efficient to compile it. Then, create a Matcher for each input:

```
Pattern pattern = Pattern.compile(regex);
Matcher matcher = pattern.matcher(input);
if (matcher.matches()) ...
```

If the match succeeds, you can retrieve the location of matched groups—see Section 9.4.4, "Groups" (page 330).

If you want to test whether the input *contains* a match, use the find method instead:

```
if (matcher.find()) ...
```

You can turn the pattern into a predicate:

```
Pattern digits = Pattern.compile("[0-9]+");
List<String> strings = List.of("December", "31st", "1999");
List<String> matchingStrings = strings.stream()
.filter(digits.asMatchPredicate())
.toList(); // ["1999"]
```

The result contains all strings that match the regular expression.

Use the asPredicate method to test whether a string contains a match:

```
List<String> sringsContainingMatch = strings.stream()
   .filter(digits.asPredicate())
   .toList(); // ["31st", "1999"]
```

### 9.4.3 Finding All Matches

In this section, we consider the other common use case for regular expressions—finding all matches in an input. Use this loop:

```
String input = ...;
Matcher matcher = pattern.matcher(input);
while (matcher.find()) {
    String match = matcher.group();
    int matchStart = matcher.start();
    int matchEnd = matcher.end();
    ...
}
```

In this way, you can process each match in turn. As shown in the code fragment, you can get the matched string as well as its position in the input string.

More elegantly, you can call the results method to get a Stream<MatchResult>. The MatchResult interface has methods group, start, and end, just like Matcher. (In fact, the Matcher class implements this interface.) Here is how you get a list of all matches:

```
List<String> matches = pattern.matcher(input)
    .results()
    .map(Matcher::group)
    .toList();
```

If you have the data in a file, then you can use the Scanner.findAll method to get a Stream<MatchResult>, without first having to read the contents into a string. You can pass a Pattern or a pattern string:

```
var in = new Scanner(path, StandardCharsets.UTF_8);
Stream<String> words = in.findAll("\\pL+")
   .map(MatchResult::group);
```

### 9.4.4 Groups

It is common to use groups for extracting components of a match. For example, suppose you have a line item in the invoice with item name, quantity, and unit price such as

```
Blackwell Toaster USD29.95
```

Here is a regular expression with groups for each component:

```
(\p{Alnum}+(\s+\p{Alnum}+)*)\s+([A-Z]{3})([0-9.]*)
```

After matching, you can extract the nth group from the matcher as

```
String contents = matcher.group(n);
```

Groups are ordered by their opening parenthesis, starting at 1. (Group 0 is the entire input.) In this example, here is how to take the input apart:

```
Matcher matcher = pattern.matcher(input);
if (matcher.matches()) {
    item = matcher.group(1);
    currency = matcher.group(3);
    price = matcher.group(4);
}
```

We aren't interested in group 2; it only arose from the parentheses that were required for the repetition. For greater clarity, you can use a noncapturing group:

```
(\p{Alnum}+(?:\s+\p{Alnum}+)*)\s+([A-Z]{3})([0-9.]*)
```

Or, even better, capture by name:

(?<item>\p{Alnum}+(\s+\p{Alnum}+)\*)\s+(?<currency>[A-Z]{3})(?<price>[0-9.]\*)

Then, you can retrieve the items by name:

```
item = matcher.group("item");
```

With the start and end methods, you can get the group positions in the input:

```
int itemStart = matcher.start("item");
int itemEnd = matcher.end("item");
```



**NOTE:** Retrieving groups by name only works with a Matcher, not with a MatchResult.



**NOTE:** When you have a group inside a repetition, such as  $(\s+p{Alnum}+)*$  in the example above, it is not possible to get all of its matches. The group method only yields the last match, which is rarely useful. You need to capture the entire expression with another group.

### 9.4.5 Splitting along Delimiters

Sometimes, you want to break an input along matched delimiters and keep everything else. The Pattern.split method automates this task. You obtain an array of strings, with the delimiters removed:

```
String input = ...;
Pattern commas = Pattern.compile("\\s*,\\s*");
String[] tokens = commas.split(input);
    // "1, 2, 3" turns into ["1", "2", "3"]
```

If there are many tokens, you can fetch them lazily:

```
Stream<String> tokens = commas.splitAsStream(input);
```

If you don't care about precompiling the pattern or lazy fetching, you can just use the String.split method:

String[] tokens = input.split("\\s\*,\\s\*");

If the input is in a file, use a scanner:

```
var in = new Scanner(path, StandardCharsets.UTF_8);
in.useDelimiter("\\s*,\\s*");
Stream<String> tokens = in.tokens();
```

#### 9.4.6 Replacing Matches

If you want to replace all matches of a regular expression with a string, call replaceAll on the matcher:

Or, if you don't care about precompiling, use the replaceAll method of the String class.

```
String result = input.replaceAll("\\s*,\\s*", ",");
```

The replacement string can contain group numbers n or names name. They are replaced with the contents of the corresponding captured group.

```
String result = "3:45".replaceAll(
    "(\\d{1,2}):(?<minutes>\\d{2})",
    "$1 hours and ${minutes} minutes");
    // Sets result to "3 hours and 45 minutes"
```

You can use  $\$  to escape \$ and  $\$  in the replacement string, or you can call the Matcher.quoteReplacement convenience method:

matcher.replaceAll(Matcher.quoteReplacement(str))

If you want to carry out a more complex operation than splicing in group matches, then you can provide a replacement function instead of a replacement string. The function accepts a MatchResult and yields a string. For example, here we replace all words with at least four letters with their uppercase version:

```
String result = Pattern.compile("\\pL{4,}")
.matcher("Mary had a little lamb")
.replaceAll(m -> m.group().toUpperCase());
// Yields "MARY had a LITTLE LAMB"
```

The replaceFirst method replaces only the first occurrence of the pattern.

### 9.4.7 Flags

Several *flags* change the behavior of regular expressions. You can specify them when you compile the pattern:

```
Pattern pattern = Pattern.compile(regex,
Pattern.CASE_INSENSITIVE | Pattern.UNICODE_CHARACTER_CLASS);
```

Or you can specify them inside the pattern:

```
String regex = "(?iU:expression)";
```

Here are the flags:

- Pattern.CASE\_INSENSITIVE or i: Match characters independently of the letter case. By default, this flag takes only US ASCII characters into account.
- Pattern.UNICODE\_CASE or u: When used in combination with CASE\_INSENSITIVE, use Unicode letter case for matching.
- Pattern.UNICODE\_CHARACTER\_CLASS or U: Select Unicode character classes instead of POSIX. Implies UNICODE\_CASE.
- Pattern.MULTILINE or m: Make ^ and \$ match the beginning and end of a line, not the entire input.
- Pattern.UNIX\_LINES or d: Only '\n' is a line terminator when matching ^ and \$ in multiline mode.
- Pattern.DOTALL or s: Make the . symbol match all characters, including line terminators.
- Pattern.COMMENTS or x: Whitespace and comments (from # to the end of a line) are ignored.
- Pattern.LITERAL: The pattern is taking literally and must be matched exactly, except possibly for letter case.
- Pattern.CANON\_EQ: Take canonical equivalence of Unicode characters into account. For example, u followed by " (diaeresis) matches ü.

The last two flags cannot be specified inside a regular expression.

# 9.5 Serialization

In the following sections, you will learn about object serialization—a mechanism for turning an object into a bunch of bytes that can be shipped somewhere else or stored on disk, and for reconstituting the object from those bytes. 334

Serialization is an essential tool for distributed processing, where objects are shipped from one virtual machine to another. It is also used for fail-over and load balancing, when serialized objects can be moved to another server. If you work with server-side software, you will often need to enable serialization for classes. The following sections tell you how to do that.

#### 9.5.1 The Serializable Interface

In order for an object to be serialized—that is, turned into a bunch of bytes—it must be an instance of a class that implements the Serializable interface. This is a marker interface with no methods, similar to the Cloneable interface that you saw in Chapter 4.

For example, to make Employee objects serializable, the class needs to be declared as

```
public class Employee implements Serializable {
    private String name;
    private double salary;
    ...
}
```

It is appropriate for a class to implement the Serializable interface if all instance variables have primitive or enum type, or contain references to serializable objects. Many classes in the standard library are serializable. Arrays and the collection classes that you saw in Chapter 7 are serializable provided their elements are.

In the case of the Employee class, and indeed with most classes, there is no problem. In the following sections, you will see what to do when a little extra help is needed.

To serialize objects, you need an ObjectOutputStream, which is constructed with another OutputStream that receives the actual bytes.

```
var out = new ObjectOutputStream(Files.newOutputStream(path));
```

Now call the writeObject method:

```
var peter = new Employee("Peter", 90000);
var paul = new Manager("Paul", 180000);
out.writeObject(peter);
out.writeObject(paul);
```

To read the objects back in, construct an ObjectInputStream:

```
var in = new ObjectInputStream(Files.newInputStream(path));
```

Retrieve the objects in the same order in which they were written, using the read0bject method.

```
var e1 = (Employee) in.readObject();
var e2 = (Employee) in.readObject();
```

When an object is written, the name of the class and the names and values of all instance variables are saved. If the value of an instance variable belongs to a primitive type, it is saved as binary data. If it is an object, it is again written with the writeObject method.

When an object is read in, the process is reversed. The class name and the names and values of the instance variables are read, and the object is reconstituted.

There is just one catch. Suppose there were two references to the same object. Let's say each employee has a reference to their boss:

```
var peter = new Employee("Peter", 90000);
var paul = new Manager("Barney", 105000);
var mary = new Manager("Mary", 180000);
peter.setBoss(mary);
paul.setBoss(mary);
out.writeObject(peter);
out.writeObject(paul);
```

When reading these two objects back in, both of them need to have the *same* boss, not two references to identical but distinct objects.

In order to achieve this, each object gets a *serial number* when it is saved. When you pass an object reference to writeObject, the ObjectOutputStream checks if the object reference was previously written. In that case, it just writes out the serial number and does not duplicate the contents of the object.

In the same way, an ObjectInputStream remembers all objects it has encountered. When reading in a reference to a repeated object, it simply yields a reference to the previously read object.

**NOTE:** If the superclass of a serializable class is not serializable, it must have an accessible no-argument constructor. Consider this example:

class Person // Not serializable class Employee extends Person implements Serializable

When an Employee object is deserialized, its instance variables are read from the object input stream, but the Person instance variables are set by the Person constructor.

### 9.5.2 Transient Instance Variables

Certain instance variables should not be serialized—for example, database connections that are meaningless when an object is reconstituted. Also, when an object keeps a cache of values, it might be better to drop the cache and recompute it instead of storing it.

To prevent an instance variable from being serialized, simply tag it with the transient modifier. Always mark instance variables as transient if they hold instances of nonserializable classes. Transient instance variables are skipped when objects are serialized.

### 9.5.3 The readObject and writeObject Methods

In rare cases, you need to tweak the serialization mechanism. A serializable class can add any desired action to the default read and write behavior, by defining methods with the signature

```
@Serial private void readObject(ObjectInputStream in)
    throws IOException, ClassNotFoundException
@Serial private void writeObject(ObjectOutputStream out)
    throws IOException
```

Then, the object headers continue to be written as usual, but the instance variables fields are no longer automatically serialized. Instead, these methods are called.

Note the Serial annotation. The methods for tweaking serialization don't belong to interfaces. Therefore, you can't use the Override annotation to have the compiler check the method declarations. The Serial annotation is meant to enable the same checking for serialization methods. Up to Java 17, the javac compiler doesn't do that checking, but it might happen in the future. Some IDEs check the annotation.

A number of classes in the java.awt.geom package, such as Point2D.Double, are not serializable. Now, suppose you want to serialize a class LabeledPoint that stores a String and a Point2D.Double. First, you need to mark the Point2D.Double field as transient to avoid a NotSerializableException.

```
public class LabeledPoint implements Serializable {
    private String label;
    private transient Point2D.Double point;
    ...
}
```

In the writeObject method, first write the object descriptor and the String field, label, by calling the defaultWriteObject method. This is a special method of the ObjectOutputStream class that can only be called from within a writeObject method

of a serializable class. Then we write the point coordinates, using the standard DataOutput calls.

```
@Serial before private void writeObject(ObjectOutputStream out) throws IOException {
    out.defaultWriteObject();
    out.writeDouble(point.getX());
    out.writeDouble(point.getY());
}
```

In the readObject method, we reverse the process:

Another example is the HashSet class that supplies its own readObject and writeObject methods. Instead of saving the internal structure of the hash table, the writeObject method simply saves the capacity, load factor, size, and elements. The readObject method reads back the capacity and load factor, constructs a new table, and inserts the elements.

The readObject and writeObject methods only need to save and load their data. They do not concern themselves with superclass data or any other class information.

The Date class uses this approach. Its writeObject method saves the milliseconds since the "epoch" (January 1, 1970). The data structure that caches calendar data is not saved.



**CAUTION:** Just like a constructor, the read0bject method operates on partially initialized objects. If you call a non-final method inside read0bject that is overridden in a subclass, it may access uninitialized data.



**NOTE:** If a serializable class defines a field

@Serial private static final ObjectStreamField[] serialPersistentFields

then serialization uses those field descriptors instead of the non-transient non-static fields. There is also an API for setting the field values before serialization or reading them after deserialization. This is useful for preserving a legacy layout after a class has evolved. For example, the BigDecimal class uses this mechanism to serialize its instances in a format that no longer reflects the instance fields.

#### 9.5.4 The readExternal and writeExternal Methods

Instead of letting the serialization mechanism save and restore object data, a class can define its own mechanism. For example, you can encrypt the data or use a format that is more efficient than the serialization format.

To do this, a class must implement the Externalizable interface. This, in turn, requires it to define two methods:

```
public void readExternal(ObjectInputStream in)
    throws IOException
public void writeExternal(ObjectOutputStream out)
    throws IOException
```

Unlike the readObject and writeObject methods, these methods are fully responsible for saving and restoring the entire object, *including the superclass data*. When writing an object, the serialization mechanism merely records the class of the object in the output stream. When reading an externalizable object, the object input stream creates an object with the no-argument constructor and then calls the readExternal method.

In this example, the LabeledPixel class extends the serializable Point class, but it takes over the serialization of the class and superclass. The fields of the object are not stored in the standard serialization format. Instead, the data are placed in an opaque block.

```
public class LabeledPixel extends Point implements Externalizable {
   private String label;
   public LabeledPixel() {} // required for externalizable class
   @Override public void writeExternal(ObjectOutput out)
            throws IOException {
       out.writeInt((int) getX());
       out.writeInt((int) getY());
       out.writeUTF(label);
   }
   @Override public void readExternal(ObjectInput in)
            throws IOException, ClassNotFoundException {
        int x = in.readInt();
       int y = in.readInt();
       setLocation(x, y);
       label = in.readUTF();
   }
}
```

**NOTE:** The readExternal and writeExternal methods should not be annotated with @Serial. Since they are defined in the Externalizable interface, you can simply annotate them with @Override.

**CAUTION:** Unlike the read0bject and write0bject methods, which are private and can only be called by the serialization mechanism, the readExternal and writeExternal methods are public. In particular, readExternal potentially permits modification of the state of an existing object.

### 9.5.5 The readResolve and writeReplace Methods

We take it for granted that objects can only be constructed with the constructor. However, a deserialized object is *not constructed*. Its instance variables are simply restored from an object stream.

This is a problem if the constructor enforces some condition. For example, a singleton object may be implemented so that the constructor can only be called once. As another example, database entities can be constructed so that they always come from a pool of managed instances.

You shouldn't implement your own mechanism for singletons. If you need a singleton, make an enumerated type with one instance that is, by convention, called INSTANCE.

```
public enum PersonDatabase {
    INSTANCE;
    public Person findById(int id) { ... }
    ...
}
```

This works because enum are guaranteed to be deserialized properly.

Now let's suppose that you are in the rare situation where you want to control the identity of each deserialized instance. As an example, suppose a Person class wants to restore its instances from a database when deserializing. Then don't serialize the object itself but some proxy that can locate or construct the object. Provide a writeReplace method that returns the proxy object:

```
public class Person implements Serializable {
    private int id;
    // Other instance variables
    ...
```

```
@Serial private Object writeReplace() {
    return new PersonProxy(id);
  }
}
```

When a Person object is serialized, none of its instance variables are saved. Instead, the writeReplace method is called and *its return value* is serialized and written to the stream.

The proxy class needs to implement a readResolve method that yields a Person instance:

```
class PersonProxy implements Serializable {
    private int id;
    public PersonProxy(int id) {
        this.id = id;
    }
    @Serial private Object readResolve() {
        return PersonDatabase.INSTANCE.findById(id);
    }
}
```

When the readObject method finds a PersonProxy in an ObjectInputStream, it deserializes the proxy, calls its readResolve method, and returns the result.

**NOTE:** Unlike the readObject and writeObject methods, the readResolve and writeReplace methods need not be private.



**NOTE:** With enumerations and records, readObject/writeObject or readExternal/writeExternal methods are not used for serialization. With records, but not with enumerations, the writeReplace method will be used.

### 9.5.6 Versioning

Serialization was intended for sending objects from one virtual machine to another, or for short-term persistence of state. If you use serialization for long-term persistence, or in any situation where classes can change between serialization and deserialization, you will need to consider what happens when your classes evolve. Can version 2 read the old data? Can the users who still use version 1 read the files produced by the new version?

The serialization mechanism supports a simple versioning scheme. When an object is serialized, both the name of the class and its serialVersionUID are

written to the object stream. That unique identifier is assigned by the implementor, by defining an instance variable

<code>@Serial private static final long serialVersionUID = 1L; // Version 1</code>

When the class evolves in an incompatible way, the implementor should change the UID. Whenever a deserialized object has a nonmatching UID, the readObject method throws an InvalidClassException.

If the serialVersionUID matches, deserialization proceeds even if the implementation has changed. Each non-transient instance variable of the object to be read is set to the value in the serialized state, provided that the name and type match. All other instance variables are set to the default: null for object references, zero for numbers, and false for boolean values. Anything in the serialized state that doesn't exist in the object to be read is ignored.

Is that process safe? Only the implementor of the class can tell. If it is, then the implementor should give the new version of the class the same serialVersionUID as the old version.

If you don't assign a serialVersionUID, one is automatically generated by hashing a canonical description of the instance variables, methods, and supertypes. You can see the hash code with the serialver utility. The command

serialver ch09.sec05.Employee

displays

private static final long serialVersionUID = -4932578720821218323L;

When the class implementation changes, there is a very high probability that the hash code changes as well.

If you need to be able to read old version instances, and you are certain that is safe to do so, run serialver on the old version of your class and add the result to the new version.

**NOTE:** If you want to implement a more sophisticated versioning scheme, override the readObject method and call the readFields method instead of the defaultReadObject method. You get a description of all fields found in the stream, and you can do with them what you want.



**NOTE:** Enumerations and records ignore the serialVersionUID field. An enumeration always has a serialVersionUID of 0L. You can declare the serialVersionUID of a record, but the IDs don't have to match for deserialization.

**NOTE:** In this section, you saw what happens when the reader's version of a class has instance variables that aren't present in the object stream. It is also possible during class evolution for a superclass to be added. Then a reader using the new version may read an object stream in which the instance variables of the superclass are not set. By default, those instance fields are set to their 0/false/null default. That may leave the superclass in an unsafe state. The superclass can defend against that problem by defining an initialization method

@Serial private void readObjectNoData() throws ObjectStreamException

The method should either set the same state as the no-argument constructor or throw an InvalidObjectException. It is only called in the unusual circumstance where an object stream is read that contains an instance of a subclass with missing superclass data.

#### 9.5.7 Deserialization and Security

During deserialization of a serializable class, objects are created without invoking any constructor of the class. Even if the class has a no-argument constructor, it is not used. The field values are set directly from the values of the object input stream.

**NOTE:** For serializable *records*, deserialization calls the canonical constructor, passing it the values of the components from the object input stream. (As a consequence, cyclic references in records are not restored.)

Bypassing construction is a security risk. An attacker can craft bytes describing an invalid object that could have never been constructed. Suppose, for example, that the Employee constructor throws an exception when called with a negative salary. We would like to think that no Employee object can have a negative salary as a result. But it is not difficult to inspect the bytes for a serialized object and modify some of them. This way, one can craft bytes for an employee with a negative salary and then deserialize them.

A serializable class can optionally implement the ObjectInputValidation interface and define a validateObject method to check whether its objects are properly deserialized. For example, the Employee class can check that salaries are not negative:
```
public void validateObject() throws InvalidObjectException {
   System.out.println("validateObject");
   if (salary < 0)
        throw new InvalidObjectException("salary < 0");
}</pre>
```

Unfortunately, the method is not invoked automatically. To invoke it, you also must provide the following method:

The object is then scheduled for validation, and the validateObject method is called when this object and all dependent objects have been loaded. The second parameter lets you specify a priority. Validation requests with higher priorities are done first.

There are other security risks. Adversaries can create data structures that consume enough resources to crash a virtual machine. More insidiously, any class on the class path can be deserialized. Hackers have been devious about piecing together "gadget chains"—sequences of operations in various utility classes that use reflection and culminate in calling methods such as Runtime.exec with a string of their choice.

Any application that receives serialized data from untrusted sources over a network connection is vulnerable to such attacks. For example, some servers serialize session data and deserialize whatever data are returned in the HTTP session cookie.

You should avoid situations in which arbitrary data from untrusted sources are deserialized. In the example of session data, the server should sign the data, and only deserialize data with a valid signature.

A *serialization filter* mechanism can harden applications from such attacks. The filters see the names of deserialized classes and several metrics (stream size, array sizes, total number of references, longest chain of references). Based on those data, the deserialization can be aborted.

In its simplest form, you provide a pattern describing the valid and invalid classes. For example, if you start our sample serialization demo as

```
java -Djdk.serialFilter='serial.*;java.**;!*' serial.ObjectStreamTest
```

then the objects will be loaded. The filter allows all classes in the serial package and all classes whose package name starts with java, but no others. If you don't allow java.\*\*, or at least java.util.Date, deserialization fails.

You can place the filter pattern into a configuration file and specify multiple filters for different purposes. You can also implement your own filters. See <a href="https://docs.oracle.com/en/java/javase/17/core/serialization-filtering1.html">https://docs.oracle.com/en/java/javase/17/core/serialization-filtering1.html</a> for details.

# Exercises

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- 1. Write a utility method for copying all of an InputStream to an OutputStream, without using any temporary files. Provide another solution, without a loop, using operations from the Files class, using a temporary file.
- 2. Write a program that reads a text file and produces a file with the same name but extension .toc, containing an alphabetized list of all words in the input file together with a list of line numbers in which each word occurs. Assume that the file's encoding is UTF-8.
- 3. Write a program that reads a file containing text and, assuming that most words are English, guesses whether the encoding is ASCII, ISO 8859-1, UTF-8, or UTF-16, and if the latter, which byte ordering is used.
- 4. Using a Scanner is convenient, but it is a bit slower than using a BufferedReader. Read in a long file a line at a time, counting the number of input lines, with (a) a Scanner and hasNextLine/nextLine, (b) a BufferedReader and readLine, (c) a BufferedReader and lines. Which is the fastest? The most convenient?
- 5. When an encoder of a Charset with partial Unicode coverage can't encode a character, it replaces it with a default—usually, but not always, the encoding of "?". Find all replacements of all available character sets that support encoding. Use the newEncoder method to get an encoder, and call its replacement method to get the replacement. For each unique result, report the canonical names of the charsets that use it.
- 6. The BMP file format for uncompressed image files is well documented and simple. Using random access, write a program that reflects each row of pixels in place, without writing a new file.
- 7. Look up the API documentation for the MessageDigest class and write a program that computes the SHA-512 digest of a file. Feed blocks of bytes to the MessageDigest object with the update method, then display the result of calling digest. Verify that your program produces the same result as the sha512sum utility.
- 8. Write a utility method for producing a ZIP file containing all files from a directory and its descendants.

9. Using the URLConnection class, read data from a password-protected web page with "basic" authentication. Concatenate the user name, a colon, and the password, and compute the Base64 encoding:

```
String input = username + ":" + password;
String encoding = Base64.getEncoder().encodeToString(
    input.getBytes(StandardCharsets.UTF_8));
```

Set the HTTP header Authorization to the value "Basic " + encoding. Then read and print the page contents.

- 10. Using a regular expression, extract all decimal integers (including negative ones) from a string into an ArrayList<Integer> (a) using find, and (b) using split. Note that a + or that is not followed by a digit is a delimiter.
- 11. Using regular expressions, extract the directory path names (as an array of strings), the file name, and the file extension from an absolute or relative path such as /home/cay/myfile.txt.
- 12. Come up with a realistic use case for using group references in Matcher.replaceAll and implement it.
- 13. Implement a method that can produce a clone of any serializable object by serializing it into a byte array and deserializing it.
- 14. Implement a serializable class Point with instance variables for x and y. Write a program that serializes an array of Point objects to a file, and another that reads the file.
- 15. Continue the preceding exercise, but change the data representation of Point so that it stores the coordinates in an array. What happens when the new version tries to read a file generated by the old version? What happens when you fix up the serialVersionUID? Suppose your life depended upon making the new version compatible with the old. What could you do?
- 16. Which classes in the standard Java library implement Externalizable? Which of them use writeReplace/readResolve?
- 17. Unzip the API source and investigate how the LocalDate class is serialized. Why does the class define writeExternal and readExternal methods even though it doesn't implement Externalizable? (Hint: Look at the Ser class. Why does the class define a readObject method? How could it be invoked?

#### Symbols and Numbers

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