UNIX[®] System V Network Programming

Stephen A. Rago



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Preface

This book is for programmers who are interested in learning how to use the networking interfaces in UNIX System V Release 4 (SVR4). We use real-life examples to demonstrate how interfaces are used and techniques are applied. All too often in the workplace we find ourselves faced with new assignments for which we have little background. In these situations, we must educate ourselves as quickly as possible so that we can competently undertake the task at hand. Although technical manuals usually provide the information necessary to complete a task, the y often lack the background, motivation, and explanation that help us to understand more clearly what we're doing and why we're doing it.

Intended as a practical reference, this book contains v ery little coverage of theory, and details better dealt with through manual pages are omitted, although refer ences are used liberally. It could, however, be used to complement a graduate or advanced undergraduate course in networking.

As a prerequisite to reading this book, you should be f amiliar with the UNIX environment and the C programming language so that the e xamples can be understood. Some background in data structures and algorithms w ould be helpful, but is not required.

References to SVR4 manual pages are in the running te xt, appearing as the command name or function name, follo wed by the section of the manual in which the page is found, as in open(2). Here, we are referring to the open manual page in Section 2 of the system manuals.

Originally, there was only one manual for the system. With the introduction of each new release of the system, the manual grew in size until it had to be split up into separate manuals. In UNIX System V Release 3, there w as one manual for users, one manual for programmers, and one manual for system administrators.

In SVR4, however, the manual pages were redistributed by functional area. The user commands are no longer in a single manual, nor can you f ind all the programming interfaces in one place. This new organization has proven difficult to navigate by novices and experts alike. The following summary should aid in the process of locating the desired manual pages.

Programmer's Reference Manual

- (1) Commands relating to source code management, compilation, and loading
- (2) System calls
- (3, 3C, 3S, 3E, 3G, 3M, 3X) Most library routines
- (4) File formats

(5) Miscellany (commonly used constants, data structures, and macros) *Programmer's Guide: Networking Interfaces*

(1, 1M) Networking commands

- (3, 3C, 3N) Network-related library routines
- (4) Network-related file formats
- (5) Miscellany, including network-related environment variables
- (7) Networking drivers and modules
- Programmer's Guide: STREAMS
 - (1, 1M) STREAMS-related commands
 - (2) STREAMS-specific system calls
 - (3C) STREAMS-specific library routines
 - (7) STREAMS modules and drivers

User's Reference Manual

(1) Commands any user might want to run

System Administrator's Reference Manual

- (1M) Administrative commands
- (4) Administrative file formats
- (5) Miscellaneous facilities
- (7) Special files (devices)
- (8) Administrative procedures

You might find it helpful if these manuals are close by when you read this book.

Background

The first standard network interface incorporated in the UNIX system was the socket mechanism. This mechanism was provided in the 4.2 release of the Berk eley Software Distribution (BSD) version of the UNIX operating system from the Uni versity of California at Berk eley. With it was an implementation of the Internet protocol suite (TCP, UDP, IP, et al.). These became available in 1983.

AT&T did not address standard netw orking interfaces in System V until 1985, when it ported Dennis Ritchie' s Streams mechanism from the V ersion 8 Research UNIX System to UNIX System V Release 2.0p, the unreleased predecessor to System V Release 3.0 (SVR3). With the release of SVR3 in 1986, STREAMS, the framework for networking in System V, became generally available, along with the Transport Layer Interf ace (TLI) library. Ironically, SVR3 was released without including any networking protocols.

In 1988, X/OPEN, a consortium dedicated to enhancing application portability through standards endorsements, specified its own transport layer interface library, based on AT&T's TLI library. The X/OPEN specification, called the X/OPEN Transport Interface (XTI), is effectively a superset of TLI. In 1990 the Portable

Operating System Interface (POSIX) committee of the Institute of Electrical and Electronics Engineers (IEEE) created the 1003.12 working group to standardize portable networking interfaces for application programs. As of this writing, the 1003.12 working group's efforts are still underway, but it looks as though both sock ets and XTI will be included in the standard.

SVR4 is unique in that it includes support for man y standards in one operating system. Unlike other versions of UNIX that support dual-uni verse environments, SVR4 provides applications with one environment consisting of features from pre vious versions of the System V, SunOS, BSD, Xenix, SCO, and Research UNIX systems, as well as some new features of its own. Support for POSIX 1003.1 (the system application programming interf ace) is also pro vided. The major networking interfaces provided include STREAMS, TLI, sockets, and remote procedure calls.

Organization

The material covered in this book pertains mainly to SVR4, although some features were present in earlier releases of UNIX System V . This book is divided into four sections: background material, user-level network programming, k ernel-level network programming, and a design example.

Both user-level and kernel-level networking components are described to present a complete picture of netw ork programming in UNIX System V. Although not everyone will be interested in both environments, knowledge of one environment makes programming in the other easier. Instead of just blindly following the instructions in the manuals, it enables the programmer to understand the effects of his or her actions and make better design decisions.

The first two chapters provide some background that will mak e the rest of the book more useful to readers with less experience. More experienced readers can skip these introductory chapters without much loss of context. Chapter 1 provides a brief introduction to networking concepts, and Chapter 2 provides an overview of application programming in the UNIX System V environment. In particular, Chapter 2 contains example functions that are used throughout the rest of this text. If you skip Chapter 2, you might w ant to refer back to indi vidual examples as you come across these functions in later chapters.

Chapter 3 is the f irst chapter concerned with netw ork programming per se. It covers the STREAMS programming environment. Since the STREAMS mechanism is the basis for most of the communication f acilities in System V, understanding its services and system call interf ace is a prerequisite to discussing an y System V networking facility.

Chapter 4 covers the Transport Layer Interface library. This is the interface applications use to access the services provided by the transport layer of a computer network. Emphasis is placed on application design to support network independence.

Chapter 5 describes the network selection and name-to-address translation facilities, which further e xtend the ability of a programmer to design netw orkindependent applications. Chapter 6 covers the network listener process. Using the listener simplifies the design of serv er processes. The Service Access F acility (SAF), the administrative framework in which the listener operates, is also discussed.

Chapter 7 gives a brief description of the BSD sock et interface and its corresponding implementation in SVR4. The socket and TLI mechanisms are contrasted and compared. Chapter 8 discusses remote procedure calls and the external data representation used to develop distributed applications. This ends the user-level section of the text.

The next four chapters are dedicated to k ernel-level network programming. Chapter 9 describes the k ernel environment, its utility routines, and the interf aces to the STREAMS environment. Chapter 10 describes how to write STREAMS drivers, centering around the design of a simple Ethernet driver. Chapter 11 describes how to write STREAMS modules, centering around the design of a module that can be used to emulate a terminal over a network connection. Chapter 12 describes how to write STREAMS multiplexing drivers. It uses a simple connection-oriented transport provider as a detailed example.

Finally, the last section of the book, Chapter 13, co vers the design of a SLIP package for SVR4, including both the user -level and kernel-level components. It illustrates the application of much from the preceding 12 chapters and, in essence, ties the book together.

Much of the interesting material lies in the e xamples. You are encouraged to work through each until it is understood. Source code for the e xamples is available via anon ymous FTP from the host ftp.uu.net in the file published/books/rago.netprog.tar.Z. If you don't have direct access to the Internet, you can use uucp to copy the source to your machine as follows:

uucp uunet!~/published/books/rago.netprog.tar.Z /tmp

(This will place a cop y of rago.netprog.tar.Z in /tmp on your system.) If you have any comments, questions, or b ug reports, please send electronic mail to sar@plc.com.

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3 STREAMS

The STREAMS mechanism in UNIX System V Release 4 provides the framework on which communication services can be built. These services include communication between terminals and a host computer, between processes on the same computer, and between processes on different computers. This chapter will describe what makes up the STREAMS mechanism and how applications can use it to build communication services.

3.1 STREAMS BACKGROUND

The STREAMS subsystem [not to be confused with the streams returned by fopen(3C)] was designed to unify disparate and often ad hoc mechanisms that previously existed in the UNIX operating system to support dif ferent kinds of character-based I/O. In particular, it was intended to replace the clist mechanism that provided support for terminal I/O in previous releases.

In the clist-based terminal subsystem, each terminal line could have one processing element, called a *line discipline*, associated with it. The line discipline handled all special character processing. If a user needed some nonstandard processing of the terminal data stream, he or she could change the line discipline, b ut only one line discipline could be associated with a terminal at a time.

STREAMS provides a variation on this theme: users can add (' 'push'') and remove ("pop") intermediate processing elements, called *modules*, to and from the data stream at will. The modules can be stacked so that more than one can be used in the data stream at a time. This fundamental change allows independent modules that perform simple tasks to be combined in interesting w ays to perform more comple x tasks, in much the same way as UNIX commands are connected via shell pipelines.

Data transfer in a stream occurs by passing messages between adjacent processing elements. Only pointers to the messages are passed, avoiding the costly overhead of data copying. Messages are typed and have an associated priority, both indicating how they should be processed. Using message-passing to perform I/O creates another fundamental difference between STREAMS and pre vious character-based subsystems: data transfer in a stream is data-driven rather than demand-driven.

In previous I/O subsystems, when a user w anted to read data from a device, the driver's read routine was invoked. Similarly, when a user wanted to write data, the driver's write routine was invoked. In STREAMS, drivers usually do not kno w when users are reading from or writing to the stream. A read will block until data are available, and a write will result in messages being sent to the driver.

The original Streams [*sic*] mechanism was invented by Dennis Ritchie at AT&T Bell Laboratories around 1982 to unify and improve the character I/O subsystem, improve performance, and decrease system size. It was included in Version 8 of the Research UNIX System. Between 1984 and 1985, A T&T's development organization "productized" Streams, adding a new message structure and support for multiplexing, and capitalizing the name. STREAMS was first generally a vailable in UNIX System V Release 3.0 in 1986. Ironically, full terminal support did not appear until System V Release 4.0, four years later.

3.2 STREAMS ARCHITECTURE

A simple *stream* provides a bidirectional data path between a process at user le vel and a device driver in the kernel (see Figure 3.1). Data written by the user process travel *downstream* toward the driver, and data received by the driver from the hardware travel *upstream* to be retrieved by the user. Even though data travel up and down the stream in messages, dri vers and modules can treat the data flo w as a byte stream.

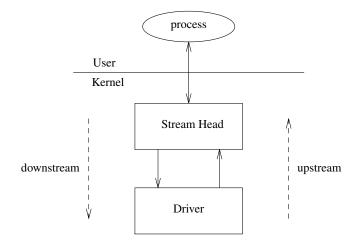


Fig. 3.1. A Simple Stream

A simple stream consists of two processing elements: the stream head and a

driver. The stream head consists of a set of routines that provide the interface between applications in user space and the rest of the stream in k ernel space. When an application makes a system call with a STREAMS file descriptor, the stream head routines are invoked, resulting in data copying, message generation, or control operations being performed. The stream head is the only component in the stream that can copy data between user space and k ernel space. All other components effect data transfer solely by passing messages and thus are isolated from direct interaction with users of the stream.

The second processing element is the dri ver, found at the end, or tail, of the stream. Its job is to control a peripheral de vice and transfer data between the k ernel and the device. Since it interacts with hardware, this kind of driver is called a *hardware driver*. Another kind of driver, called a *software driver*, or *pseudo-driver*, is not associated with any hardware. Instead, it provides a service to applications, such as emulating a terminal-like interface between communicating processes.

The stream head cannot be replaced in the same w ay that a driver can. Drivers can be added to the k ernel simply by linking their object f iles with the k ernel object files. The stream head, on the other hand, is pro vided with the k ernel proper and is fixed. The same stream head processing routines are used with e very stream in the system. Each stream head, however, is customizable to a small e xtent by changing the processing options it supports.

The fundamental building block in a stream is the *queue* (see Figure 3.2). It links one component to the next, thereby forming the stream. Each component in the stream contains at least one pair of queues: one queue for the read side (upstream) and one for the write side (do wnstream). The queue serves as a location to store messages as they flow up and down the stream, contains status information, and acts as a registry for the routines that will be used to process messages.

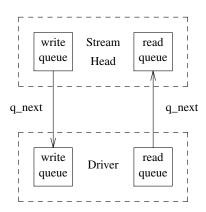


Fig. 3.2. STREAMS Queues

When one component wants to pass a message along in the stream, the queue is used to identify the next component. Then, the next component's queue is used to identify the function to call to pass the message to that component. In this manner, each component's queue provides an interface between the component and the rest of the stream.

A module on a stream is shown in Figure 3.3. A module is an intermediate processing element that can be dynamically added to, or remo ved from, the stream. Modules are structurally similar to dri vers, but usually perform some kind of f ilter processing on the messages passing between the stream head and the dri ver. For example, a module might perform data encryption or translation between one inter face and another.

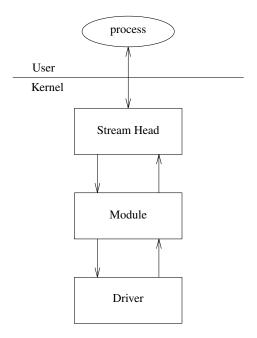


Fig. 3.3. A Module on a Stream

Adding and removing modules are not the only w ays a user can customize a stream. A user can also establish and dismantle multiple xing configurations. Multiple streams can be *linked underneath* a special kind of software driver called a *multiplexing driver*, or *multiplexor* (see Figure 3.4). The multiplexing driver will route messages between upper streams opened to access the dri ver, and lower streams linked underneath the dri ver. Multiplexing drivers are well suited to implementing windowing systems and netw orking protocols. Windowing systems multiplex data between multiple windows and the physical terminal. Networking protocols multiplex messages between multiple users and possibly multiple transmission media.

As we have seen, streams can be used to connect processes with de vices, but this is not their only use. Streams are also used to connect processes with other

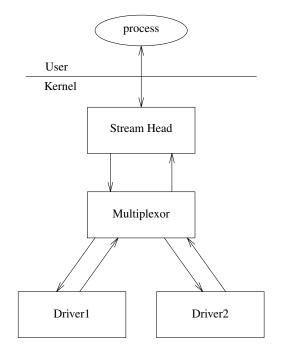


Fig. 3.4. A Multiplexing Driver

processes. Pipes are implemented as streams in UNIX System V Release 4. There are two kinds of pipes: unnamed pipes and named pipes. An unnamed pipe (also called an "anonymous pipe") is so called because it has no entry in the f ile system namespace. The pipe system call creates an unnamed pipe by allocating tw o stream heads and pointing the write queue of each at the read queue of the other (see Figure 3.5).

Before pipes were implemented using streams, the y could only be used for unidirectional data transfer. On successful return, pipe would present the user with a file descriptor for one end of the pipe open for reading and a f ile descriptor for the other end open for writing. In contrast, pipes in SVR4 are full-duple x connections; both pipe ends are open for reading and writing.

A named pipe (also called a ' 'FIFO'' because data are retrie ved in a firstin-first-out manner) is created via the mknod system call. It has a name in the f ile system and can be accessed with the open system call. A named pipe is actually one stream head with its write queue pointing at its read queue (see Figure 3.6). Data written to a named pipe are available for reading from the same "end" of the pipe.

Two processes can use named pipes as rendezvous points, but since communication is unidirectional, their usefulness is limited. They are retained primarily to support applications that still use them. The *mounted streams* facility found in SVR4 makes named pipes obsolete by gi ving users a w ay to associate a name with an

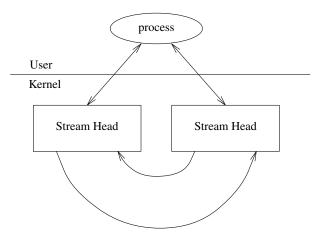


Fig. 3.5. An Anonymous Pipe

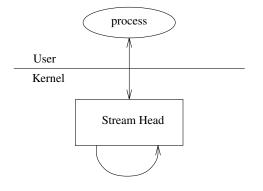


Fig. 3.6. A FIFO

anonymous pipe. Mounted streams are discussed in Section 3.6. Although strictly speaking, an anonymous pipe is also a FIFO, we will follo w current conventions and use the term ''FIFO" to refer to a named pipe and the term ''pipe" to refer to an anonymous pipe.

There are several advantages to STREAMS-based pipes. First, local interprocess communication (IPC) no w uses the same mechanisms as remote, or networked, IPC. This allows applications to treat local IPC connections the same as remote connections. Most operations that can be applied to a stream can no w be applied to a pipe. For example, modules can be pushed onto pipes to obtain more functionality. Second, STREAMS-based pipes are full-duple x, allowing bidirectional communication between two processes with one pipe instead of two. Now that we have seen the major components that mak e up a stream, we will briefly look at some of the characteristics of STREAMS messages that are of interest to user-level applications. All communication within a stream occurs by passing pointers to STREAMS messages. The messages are typed, and the type indicates both the purpose of the message and its priority. Based on the type, a message can be either high-priority or normal-priority. The normal-priority messages are further subdivided into *priority bands* for the purposes of flow control and message queueing.

Any data the user wants to transmit to the other end of the stream are packaged in M_DATA messages by the stream head. This is the most common message type. If the user needs to send or recei ve control information, then an M_PROTO message is used. Control information is intended for a module or driver in the stream, is interpreted by that component, and is usually not transmitted past the component. A special message type, M_PCPROTO, is reserved for high-priority control information, such as interface acknowledgements.

Simple messages are composed of one *message block*. More complex messages can be created by linking multiple message blocks together . These are then treated logically as larger messages. The data in one message block are vie wed as being contiguous with the data in the next message block. The message structure is usually transparent to user-level applications. One exception to this is when dealing with a complex message including both control information and user data. In the next section, we shall see how messages like these can be generated and received.

Chapter 9 discusses the STREAMS k ernel architecture, including message structure and types, in detail.

3.3 SYSTEM CALLS

Access to a stream is provided via the open and pipe system calls. In the former case, if a device is not already open, the open system call will b uild the stream. This involves allocating a stream head and allocating two pairs of queues, one pair for the stream head and one pair for the driver. The queues are link ed together as shown in Figure 3.2, and the driver's open routine is called. If the driver open succeeds, a file descriptor referring to the stream is returned to the user . If the driver open fails, the structures are freed and the system call fails, returning -1 to the user.

Once the stream is constructed and the f irst open has completed successfully, another open of the same de vice will create a ne w file descriptor referring to the same stream. The driver open routine is called again, but there is no need to allocate the data structures since the y are already set up. Pipes, when created, ha ve their streams "opened" internally by the operating system. There is no driver to open.

Multiple processes using the same device also use the same stream. A device is uniquely identified by its *device number*. The device number is split into a *major number* and a *minor number*. The major number identifies the actual device and its associated driver. The minor number identifies a subdevice. For example, a serial ports board would be identified by its major device number, but an individual line on the board is identified by its minor device number.

For a network, minor devices are usually virtual entities since the y are multiplexed over one communication line. Instead of being limited by the number of lines, the minor devices are usually limited by tunable conf iguration parameters that correspond to the maximum number of simultaneous conversations.

Often, applications do not care what particular minor device they use. They just want one that is not already in use. To relieve the applications of the b urden of searching for unused minor devices, drivers can be written to support special minors called *clones*. When an application opens the clone minor device of a particular driver, the driver selects a different, unused minor device to be used by the application. Clones are particularly well suited for network drivers and pseudo-drivers.

After a stream is opened, the user may apply to it almost an y system call that takes a valid file descriptor. In addition, four system calls work only on file descriptors that refer to streams. These are getmsg(2), getpmsg(2), putmsg(2), and putpmsg(2). They deal with information that is separated into tw o classes: user data and control information.

The few system calls that will not work with streams are those that make restrictions on the file type, such as getdents(2), which only works with directories, or those that support a conflicting paradigm, like mmap(2). mmap and streams do not work together, because mapping a STREAMS dri ver into the address space of a process would enable direct I/O to the de vice through loads from and stores into the mapped address range, and the entire stream w ould be bypassed. No messages would be created, and modules would not get a chance to process the data.

Data can be written to the stream using either write or putmsg. With write, the stream head will cop y the user's data into (possibly multiple) STREAMS messages of type M_DATA and send them do wnstream. Data are fragmented according to the *maximum packet size* of the topmost module or driver in the stream. The maximum packet size is a parameter specified by each module and driver that determines the size of the lar gest STREAMS data message that the component can accept.

If there are too man y bytes of data in the messages on the write queues do wnstream, a stream is said to be *flow-controlled* on the write side. When this condition occurs, writes to the stream will block until flow-control restrictions are lifted. If, however, the file descriptor is in nonblocking mode, write will return -1 with errno set to EAGAIN instead of blocking. Flow control protects the system from any one stream using too much memory for messages.

Example 3.3.1. Assume the module on the top of the stream has a maximum pack et size of 4096 bytes. Then the line

```
write(fd, buf, 4097);
```

will send two STREAMS messages downstream. The first message will have 4096 bytes of data in it, and the second message will contain the last byte of data. If, on the other hand, the maximum pack et size is larger than 4097 bytes, the write will generate only one message.

SEC. 3.3 SYSTEM CALLS

Actually, a global tunable parameter, STRMSGSZ, can be set by a system administrator to limit the lar gest STREAMS message created. By default, STRMS-GSZ is set to 0 to indicate that the limit is inf inite. In this case, write behaves as described. If STRMSGSZ is set to a nonzero v alue, however, the size of messages created by write is limited by the smaller of the maximum pack et size of the module on top of the stream and the value of STRMSGSZ.

With putmsg, the stream head will try to create e xactly one message from the user's buffers. This system call can be used to send control information, data, or both. An M_DATA, M_PROTO, or M_PCPROTO message can be generated, depending on whether the user supplies a control buffer and what flags the user specifies, as summarized in Table 3.1.

Control Buffer	Data Buffer	Flag	Message Type
No	Yes	0	M_DATA
Yes	Don't care	0	M_PROTO
Yes	Don't care	RS_HIPRI	M_PCPROTO

Table 3.1. putmsg Argument Combinations

The synopsis for putmsg is

#include <stropts.h>

fd is a file descriptor referring to a stream, ctlp is a pointer to a structure describing an optional control buffer to be transmitted, and datp is a pointer to an optional data buffer to be transmitted. If a control buffer is provided, flag will determine whether the resulting message is a normal protocol message (M_PROTO) or a highpriority protocol message (M_PCPROTO; "PC" stands for Priority Control). The only valid values for flag are 0 for a normal protocol message and RS_HIPRI for a high-priority protocol message. If no control buffer is provided, then flag must be set to 0, or an error will result.

If a data b uffer is provided, then there will be one or more M_DATA blocks linked to the protocol message block. If a data b uffer is provided, but no control buffer is provided, then a single M_DATA message block is generated.

To describe the control and data portions of the generated message, the strbuf structure is used, defined in <sys/stropts.h> as:

```
struct strbuf {
    int maxlen;
    int len;
    char *buf;
};
```

maxlen is ignored by putmsg. It is used to specify the size of the user's buffer in calls to getmsg. len indicates the amount of control information or data to be

transmitted. buf contains the address of the b uffer containing the control information or data.

On success, putmsg returns 0; on error, it returns -1. If the size of the data is either greater than the maximum packet size or less than the minimum pack et size of the topmost module or driver in the stream, then the system call will fail with errno set to ERANGE.

Example 3.3.2. Assume you have to communicate with a netw ork driver that expects user data to be presented to it with control information describing the identity of the recipient of the data. The recipient is known by its network address. The control information is stored in an M_PROTO message block, and the user data is stored in M_DATA blocks linked to the M_PROTO block. The driver expects the M_PROTO message to contain the following structure:

```
struct data_req {
    long primitive; /* identifies message */
    ushort_t addr_len; /* destination address */
    ushort_t addr_offset; /* location in message */
};
#define DATA_REQUEST 1 /* data request primitive */
```

The data_req structure and the recipient's address are both stored as control information. The address location in the buffer is given by addr_offset. To use the least amount of space, we will choose the address to follow immediately after the data_req structure.

We can use the following function to request that the driver transmit a message:

```
#include <sys/types.h>
#include <stropts.h>
#include <stdlib.h>
#include <memory.h>
int
senddata(int fd, char *buf, uint_t blen, char *addr,
     ushort_t alen)
{
     struct data_req *reqp;
     struct strbuf ctl, dat;
     char *bp;
     int size, ret;
     /*
      * Allocate a memory buffer large enough to hold
      * the control information.
      */
     size = sizeof(struct data_req) + alen;
     if ((bp = malloc(size)) == NULL)
         return(-1);
     /*
      * Initialize the data_req structure.
```

}

```
*/
reqp = (struct data_req *)bp;
reqp->primitive = DATA_REQUEST;
reqp->addr_len = alen;
reqp->addr_offset = sizeof(struct data_req);
/*
 * Copy the address to the buffer.
 * /
memcpy(bp + reqp->addr_offset, addr, alen);
ctl.buf = bp;
ctl.len = size;
dat.buf = buf;
dat.len = blen;
/*
 * Send the message downstream, free the memory
 * allocated for the control buffer, and return.
 */
ret = putmsg(fd, &ctl, &dat, 0);
free(bp);
return(ret);
```

The arguments to senddata are a file descriptor referring to the stream, the address of a data buffer, the amount of data in the buffer, the destination address, and the address length. We allocate enough memory for the control b uffer to hold the data_req structure plus the destination address. We then populate the data_req structure with the necessary information and initialize the strbuf structures describing the control and data information. After we call putmsg to create the message and send it do wnstream, we free the memory we allocated and return the value returned by putmsg.

Early in the implementation of System V STREAMS, putmsg was actually called send. Similarly, getmsg was called recv. Before released, the names were changed to their present ones to a void conflicting with the 4BSD system calls used for data transfer over sockets. Somehow, the definition of the flag for getmsg and putmsg was never changed, hence it retains its original name, RS_HIPRI. The "R" stands for "receive," and the "S" stands for "send."

Data can be obtained from the stream using either the read or getmsg system call. read treats the data flow as a byte stream and, by def ault, only operates on M_DATA messages. This means the data returned by read may span message boundaries. If a read is attempted from a stream with an M_PROTO or M_PCPROTO message at the head of its read queue, the read will fail with errno set to EBADMSG. There are options to change the def ault behavior of read. They will be discussed later in this chapter.

Since read is byte-stream-oriented, applications have to do something extra to determine when all the data have been received. Three common methods are

- 1. Use fixed-size messages. Both the writer and the reader agree in advance on the size of each message passed.
- 2. Always start each message with a field describing the size of the message.
- 3. Always end each message with a special character or sequence of characters.

The application determines which method is appropriate.

Example 3.3.3. This example illustrates a function that reads e xactly the amount asked. It can be used to implement method (1) discussed previously.

```
#include <unistd.h>
#include <errno.h>
int
mread(int fd, char *buf, int len)
{
     int n;
     while (len > 0) {
         n = read(fd, buf, len);
         if (n <= 0) {
              if (n == 0) /* unexpected EOF */
                  errno = EPROTO;
             return(-1);
         }
         len -= n;
         buf += n;
     }
     return(0);
}
```

Since we have to read exactly len bytes, if we receive less than that, we treat it as an error. If read returns 0, we treat it as an end-of-f ile condition and return an error. On success, we return 0 instead of the number of bytes read since the caller knows we have read as much as we were asked.

getmsg, like putmsg, deals with only one message at a time. It can process both user data and control information, retrie ving an M_DATA, M_PROTO, or M_PCPROTO message from the front of the stream head read queue.

fd is a file descriptor referring to a stream, ctlp is a pointer to a structure describing an optional control b uffer to be received, and datp is a pointer to an optional data buffer to be received. Information in the M_PROTO or M_PCPROTO portion of the message is stored in the control buffer described by an strbuf structure, shown earlier. The maxlen field indicates the size of the b uffer. On return, the len field indicates the amount of information received and placed in the buffer. Information in the M_DATA portion of the message is processed in a similar manner. The flagp field is a *pointer* to an integer, unlike the flag field in putmsg. A common mistake is to pass a flag in this f ield, resulting in getmsg failing with errno set to EFAULT (although the stronger type-checking done by ANSI C compilers has reduced the likelihood of this error).

If the flag pointed to by flagp is set to 0, the first message on the stream head read queue will be retrieved. If the flag is set to RS_HIPRI, then getmsg will wait until an M_PCPROTO message arrives at the stream head and will retrie ve it instead. On return, the flag will be set to RS_HIPRI if an M_PCPROTO message has been received, and 0 otherwise.

On success, if an entire message is retrie ved, getmsg returns 0. If only part of the message is retrie ved (because the caller's buffer was too small), then getmsg will return nonne gative values. If there is more control information, MORECTL is returned. If there are more data, MOREDATA is returned. If both remain, then (MORECTL | MOREDATA) is returned. On error, -1 is returned.

Example 3.3.4. Assume the same dri ver used in Example 3.3.2 responds with an acknowledgement every time it recei ves a request to transmit a message. The acknowledgement does not contain user data, but it does contain control information. It can be implemented as an M_PCPROTO message containing the following structure in its data buffer:

```
struct data_ack {
    long primitive; /* identifies message */
    long status; /* success or failure */
};
#define DATA_ACK 2 /* data request acknowledgement */
```

The primitive field identifies the message as a DATA_ACK. The status field contains an error number if the data request failed, or 0 if it succeeded.

The following routine retrieves the M_PCPROTO message from the front of the stream head read queue. It returns 0 if an acknowledgement was received and indicates success. If either the acknowledgement cannot be received or the acknowledgement indicates the request failed, it returns -1.

```
#include <sys/types.h>
#include <stropts.h>
#include <unistd.h>
#include <errno.h>
int
getack(int fd)
{
    struct data_ack ack;
    struct strbuf ctl;
    int fl = RS_HIPRI;
    int ret;
    /*
    * Initialize the control buffer and retrieve the
    * acknowledgement message.
```

```
*/
ctl.buf = (caddr_t)&ack;
ctl.maxlen = sizeof(struct data_ack);
ret = getmsg(fd, &ctl, NULL, &fl);
if (ret != 0) {
    /*
     * ret shouldn't be greater than 0, but if it
     * is, then the message was improperly formed.
     */
    if (ret > 0)
        errno = EPROTO;
    return(-1);
}
if (ack.primitive != DATA_ACK) {
    /*
     * The message we just obtained was not the
     * acknowledgement we expected.
     * /
    errno = EPROTO;
    return(-1);
}
/*
 * The status field of the message contains an error
 * number if the request failed, or 0 otherwise.
 */
errno = ack.status;
return(errno ? -1 : 0);
```

We start out by setting up the control b uffer. Using getmsg with the RS_HIPRI flag, we block until an M_PCPROTO message is received. If we get a message with more control information than we ask ed for, MORECTL will be returned. If the message had data in it (i.e., w as linked to an M_DATA message), MOREDATA will be returned since we do not specify a b uffer to be used for data. Either of these cases is an error in this e xample, so we set errno to EPROTO and return failure notification.

If the primitive is not a data acknowledgement, then there has been a protocol error, so we again set errno to EPROTO and return -1. If the message is a DATA_ACK, we set errno to indicate the status of the previous data request and return 0 on success or -1 on failure.

Why use getmsg and putmsg when read and write will do? The fact is most people probably will not have to use getmsg or putmsg, at least not directly. getmsg and putmsg were implemented to enable user -level applications to communicate with networking drivers and modules that export message-based interfaces. These interfaces (called *service interfaces*) use M_PROTO and M_PCPROTO messages to implement their service primitives and events.

With the read and write system calls, applications w ould have to work harder to distinguish between service parameters and user data because read and

}

write provide a byte-stream interface and only one buffer is involved. This means applications might have to make multiple system calls to send or receive a single message. In addition, read and write provide only one band of data flow, so high-priority primitives, such as interface acknowledgements and out-of-band data, which ideally would take precedence over other primitives, will be queued behind existing data.

The getmsg and putmsg system calls solve these problems. They provide a message-oriented interface with separate b uffers for control information and user data. For more information on service interfaces, see Section 3.5.

The ioctl system call is used to perform I/O control operations on the stream.

int ioctl(int fd, int command, ... /* arg */);

The particular control operation is identified by command. An optional third argument whose type and semantics v ary based on the command is usually included. Almost any file-based operation can be implemented as an ioctl command. For this reason, ioctl has often been described as the "garbage can" system call.

There are two classes of commands that can be used. One class is a command directed at a module or driver in the stream. The other class is directed at the stream head. This latter class is the set of ' 'generic" stream head ioctl commands described in streamio(7).

Example 3.3.5. A module can be ' 'pushed'' onto the stream with the I_PUSH ioctl command. Even though it appears to the user as if the module is on the top of the stream, the module is actually inserted between the stream head and the top-most module or driver in the stream. Even so, the conventions are to say, "the module has been pushed on the stream," and "the module is on top of the stream."

```
ioctl(fd, I_PUSH, "module_a");
ioctl(fd, I_PUSH, "module_b");
```

After this sequence of calls, the module named module_b is on the top of the stream. After each module is pushed onto the stream, its open routine is called so that it can allocate any necessary data structures. Each push of a module on a stream invokes a different instance of the module, analogous to the w ay each minor device provides access to a different instance of a driver.

The topmost module on the stream can be popped of f with the I_POP icctl command:

ioctl(fd, I_POP, 0);

If this follows the previous two calls, then the module named module_a will be left on the top of the stream. When a module is popped off the stream, its close routine is called so that it may deallocate an y data structures associated with that instance of the module.

The stream head ioctl commands are summarized in Table 3.2. The class of module and dri ver ioctl commands is further subdi vided into two categories: I_STR and *transparent*. The I_STR type derives its name from the

Command	Description
I_NREAD	Get the number of messages and the size of the f irst message on
	the stream head read queue.
I_PUSH	Push a module on a stream.
I_POP	Remove the top module from a stream.
I_LOOK	Get the name of the top module on a stream.
I_FLUSH	Flush (discard) data on queues.
I_SRDOPT	Set read options.
I_GRDOPT	Get read options.
I_STR	Driver/module ioctl commands.
I_SETSIG	Enable SIGPOLL generation.
I_GETSIG	Get events that generate SIGPOLL.
I_FIND	Verify if a module is in a stream.
I_LINK	Create a multiplexor link.
I_UNLINK	Remove a multiplexor link.
I_PEEK	Peek at data in the first message on the stream head read queue.
I_FDINSERT	Send information about another stream.
I_SENDFD	Pass a file descriptor.
I_RECVFD	Receive a file descriptor.
I_SWROPT	Set write options.
I_GWROPT	Get write options.
I_LIST	List the modules and driver in a stream.
I_PLINK	Create a persistent multiplexor link.
I_PUNLINK	Remove a persistent multiplexor link.
I_FLUSHBAND	Flush banded data on queues.
I_CKBAND	Check if a message with the gi ven band is on the stream head
	read queue.
I_GETBAND	Get the band of the first message on the stream head read queue.
I_ATMARK	Check if the f irst message on the stream head read queue is
	"marked."
I_SETCLTIME	Set the close delay time.
I_GETCLTIME	Get the close delay time.
I_CANPUT	Check if the given band is writable.

Table 3.2. Stream Head ioctl Commands

command used to implement it. The caller packages the real ioctl command and argument in an strictl structure and passes the address of the structure as the third argument to ioctl, as in:

```
struct strioctl {
                          /* defined in <sys/stropts.h> */
             ic_cmd;
                          /* command */
     int
             ic_timout;
                         /* timeout value */
     int
                          /* length of data */
             ic_len;
     int
                          /* pointer to data */
     char
             *ic_dp;
};
struct strioctl str;
ioctl(fd, I_STR, &str);
```

The strictl structure allows the user to specify one optional b uffer to contain data to be sent along with the command. On success, data may be returned to the buffer.

The stream head translates the strictl structure into a message sent do wnstream. If the command is recognized by a module or dri ver, the request is performed and an acknowledgement message is sent upstream to complete the system call. If the command is unrecognized by all processing elements in the stream, the driver responds by sending a ne gative acknowledgement message upstream, which causes the system call to fail.

This mechanism did not allo w existing binary applications to use ioctl with STREAMS-based drivers or modules since the command and data had to be massaged into the strictl structure. Nor did it support the use of more than one data buffer during ioctl processing. To solve these problems, transparent ioctls were added to SVR3.2.

With transparent ioctls, the stream head does not e xpect an I_STR command, nor does it know anything about the format of the data referenced by the third argument to ioctl. All unrecognized commands are treated as transparent by sending a specially tagged ioctl message downstream. If a module or driver recognizes the command, it will respond with the proper messages to complete the request. Otherwise, the driver will generate a negative acknowledgement, as with the I_STR type.

Note that users can specify a timeout with an I_STR ioctl. The ic_timout field contains the number of seconds to w ait for the ioctl to complete before giving up. The special symbol INFTIM is used to w ait indefinitely. Transparent ioctls have no way to control the timeout. They will wait indefinitely.

Because modules and drivers stack in a stream, the first component to recognize an ioctl command will act on it. Modules pass along ioctl messages containing unrecognized commands. Drivers have the responsibility of f ailing unrecognized ioctl commands. More information on the details of ioctl processing can be found in Chapters 9 through 12.

Access to a stream is relinquished by calling the close system call with the file descriptor referring to the stream. The driver's close routine is only called on the last close of the stream. So if more than one process has opened the same stream, or if a STREAMS file descriptor has been duplicated [see dup(2)], the driver will not be notified that a close is occurring until the last f ile descriptor referring to the stream has been closed.

On last close, a stream is dismantled. Starting at the top of the stream, the system calls the close routine of each module before removing the module from the stream. When no modules are left, the system will call the dri ver's close routine and deallocate the data structures representing the stream.

Flushing Data

Since messages can be queued within a stream, applications have the ability to flush the data in it with the I_FLUSH ioctl command. By flush, we do not mean the ability to force that data to the tail of the stream. In this context, "flush" means to discard the data by freeing the messages.

The third parameter to the system call is a flag indicating which side of the stream to flush: FLUSHR for the read side, FLUSHW for the write side, and FLUSHRW for both sides. [FLUSHRW is equivalent to (FLUSHR | FLUSHW).]

When the I_FLUSH ioctl is used, the stream head sends a special message (of type M_FLUSH) containing the flags do wnstream that informs the modules and driver to flush their queues. As each module recei ves the message, it flushes its queues and passes the message on to the ne xt component. When the driver receives the message and flushes its queues, if FLUSHR is set, the driver shuts off FLUSHW and sends the message back upstream. When it reaches the head of the stream, the stream head flushes its read queue and frees the message. If FLUSHR is not set, the driver frees the message instead.

Flushing can also occur from within a stream. As the result of an e xternal event, a module or driver can generate an M_FLUSH message to flush the stream. In this case, the user is una ware that the flushing has occurred. The stream head takes care of the M_FLUSH message much in the same way the driver did, but the sense of the flags is reversed. If FLUSHW is set, the stream head shuts off FLUSHR and sends the message downstream. Otherwise, it frees the message. The shutting off of the FLUSHR flag by the stream head and the FLUSHW flag by the driver prevents the message from circulating in the stream indefinitely.

Error Handling

The separation of module and driver processing from the user's I/O requests presents problems for error reporting. This is partially because of the decoupling effect of message-based interfaces, and partially because of the ability of modules and drivers to defer processing messages by queueing them. By the time the module or driver detects an error, the application may no longer be performing the system call that caused the error. Errors can also result from the tail of a stream, unrelated to an y specific action by the user.

This has led to the use of error semantics that, in most cases, mak e a stream unusable when an error occurs. Usually when a module or driver detects an unrecoverable error, the action taken is to inform the stream head of the error by sending a message upstream, placing the stream in *error mode*. Then, from that point on, all system calls except close and poll will fail with the error code specified in the message. The only way for a user to clear the error is to close the stream and reopen the device.

One exception to this type of error handling is ioctl failures. The processing of an ioctl in a stream is synchronous; the user w aits until a message arrives acknowledging the completion of the ioctl command. Drivers and modules indicate success or f ailure in the completion message. There is no need to place the stream in error mode, although this can be done in extreme cases. Drivers and modules usually try to a void placing a stream in error mode unless absolutely necessary, because of the severe consequences.

Drivers and modules have the option of placing just one side of a stream in error mode. If only the read side is in error mode, then only read-lik e system calls will fail. If only the write side is in error mode, then only write-like system calls will fail. If a system call is neither read-lik e nor write-like, then it will f ail if either side is in error mode.

Drivers and modules can also put the stream in *hangup mode*. This might occur when the driver detects a problem with the communication line, for e xample. In hangup mode, reads will succeed, retrieving any data on the stream head read queue, until no more data are left. Then read will return 0. However, write will fail in hangup mode, setting errno to EIO.

3.4 NONBLOCKING I/O AND POLLING

Normally, a read from a stream will block until data are available. A write will block if the stream is flow-controlled. An alternative to this form of I/O is called *nonblock-ing I/O*. If a stream is opened with the O_NDELAY flag or the O_NONBLOCK flag, then read and getmsg will fail with errno set to EAGAIN if there are no data immediately available, and write and putmsg will fail with errno set to EAGAIN if the stream is flo w-controlled. For write, if part of the data has been written before the stream is flo w-controlled, then write will return the number of bytes written.

These semantics are useful to applications that do not w ant to wait for data to either arrive or drain. This might be the case if the application has a lo wer-priority task it can perform until I/O can be continued. In some cases, an application might be able to use nonblocking I/O to improve its response time.

Nonblocking I/O alone would be tedious to use: an application would have to check the file descriptors periodically to see if the state had changed. With the use of the I_SETSIG ioctl command, this is not necessary. I_SETSIG provides a way for the application to be signaled when data arri ve or flow-control restrictions are removed. The application specifies a bitmask of e vents it is interested in, and the stream head sends the SIGPOLL signal to the process when any of the events occurs. Then the application can either handle the e vent right away, or note that it occurred and handle it at its convenience.

Table 3.3 summarizes the e vents of interest that can be re gistered with the stream head. (Out-of-band data are discussed in Section 3.7.)

Event	Description
S_INPUT	Data (other than high-priority) can be read.
S_HIPRI	High-priority data can be read.
S_OUTPUT	Write side is no longer flow-controlled for normal data.
S_MSG	Signal message is at head of stream head read queue.
S_ERROR	Stream is in error (M_ERROR message received).
S_HANGUP	Device has hung up stream.
S_RDNORM	Normal data (band 0) can be read.
S_WRNORM	Same as S_OUTPUT.
S_RDBAND	Out-of-band data can be read.
S_WRBAND	Write side is no longer flow-controlled for out-of-band data.
S_BANDURG	Modifier to S_RDBAND to generate SIGURG instead of SIG-
	POLL.

Table 3.3. Stream Head SIGPOLL Events

Normal data are packaged in M_DATA messages and have a priority band of zero. S_INPUT is equivalent to (S_RDNORM|S_RDBAND) because read and getmsg remove the first message from the stream head read queue, regardless of the message's band. S_WRNORM is the same as S_OUTPUT because write and putmsg (without the RS_HIPRI flag) generate messages in band zero. S_WRNORM was added only to maintain a consistent naming scheme. S_BANDURG is used by the socket library described in Chapter 7.

The default action for the SIGPOLL signal is to kill the process. This may seem severe, but the stream head will ne ver generate SIGPOLL unless the process explicitly requests it by in voking the I_SETSIG ioctl command. Therefore, applications must be careful to install the signal handler for SIGPOLL before using the I_SETSIG ioctl.

In a w ay, the default disposition of SIGPOLL encourages proper use of I_SETSIG. If you ask for signals to be generated without being prepared to handle them, then you pay the price. There is one unfortunate side effect, however. If a program has arranged for SIGPOLL generation and then execs, the signal dispositions for signals with handlers are restored to their def ault values. If the process that calls exec is a descendant of the process that called I_SETSIG, then everything is fine because SIGPOLL is only sent to the process that requested it. On the other hand, if the process calling exec is the same one that requested SIGPOLL be generated, then the new program will be killed if it receives SIGPOLL. Thus, before execing, processes using SIGPOLL should either disable its generation, ignore the signal, or set the close-on-exec flag for the file descriptors associated with the streams that might generate the signal.

Example 3.4.1. Data transfer to a stream can be illustrated with the cat(1) command. Since terminals are streams, we can use the standard output as the example of a STREAMS file descriptor to which data will be written.

Consider the simplified implementation of cat from Example 2.4.6. It is fairly straightforward, reading from the file and writing to the standard output until either there is an error, or the end of the file has been reached.

But what would happen if the standard output flow-controlled? The application would block in the write until the flow control subsided and the rest of the data could be written. We could make better use of this time if we were able to read more of the file until the standard output could accept more data. This version of the program employs nonblocking I/O to do just that:

```
#include <sys/types.h>
#include <stdlib.h>
#include <stdio.h>
#include <fcntl.h>
#include <unistd.h>
#include <errno.h>
#include <stropts.h>
#include <signal.h>
#define BUFSIZE (64*1024)
#define RDSIZE (BUFSIZE/8)
char buf[BUFSIZE];
int widx, ridx;
                    /* write and read indices */
                    /* total amounts read and written */
int totwr, totrd;
                     /* 1 if flow-controlled, 0 if not */
int flowctl;
int nfc:
                     /* number of times flow-controlled */
void catreg(int), cattostream(int);
int doread(int);
void dowrite(int), finwrite(void);
void setblock(int), setnonblock(int);
#ifdef FCBUG
void nop(int);
#endif
extern void error(const char *fmt, ...);
extern void fatal(const char *fmt, ...);
void
main(int argc, char *argv[])
{
     int i, fd, isoutstr;
#ifdef FCBUG
     struct sigaction sa;
     /*
      * If system contains flow-control bug,
      * install a signal handler for SIGALRM.
      * /
     sa.sa_handler = nop;
     sigemptyset(&sa.sa_mask);
     sa.sa_flags = 0;
```

```
if (sigaction(SIGALRM, &sa, NULL) < 0)
         fatal("cat: sigaction failed");
#endif
     /*
      * See if the standard output is a stream. If
      * isastream fails, assume stdout is not a stream.
      */
     isoutstr = isastream(1);
     if (isoutstr == -1)
         isoutstr = 0;
     /*
      * Process each file named on the command line.
      */
     for (i = 1; i < argc; i++) {
         if ((fd = open(argv[i], O_RDONLY)) < 0) {
             error("cat: cannot open %s", argv[i]);
             continue;
         }
         /*
          * If the standard output is a stream, call
          * cattostream to print the file. Otherwise
          * call catreg (see Example 2.4.6) to do it.
          */
         if (isoutstr)
             cattostream(fd);
         else
             catreg(fd);
         close(fd);
     }
#ifdef DEBUG
     printf("cat: number of flow controls = %d\n", nfc);
#endif
     exit(0);
}
#ifdef FCBUG
void
nop(int sig)
{
}
#endif
```

There are several differences between this version of cat and the one presented in Example 2.4.6. First, we have added a dummy signal handler , nop, for SIGALRM. It is only used if the symbol FCBUG is defined. nop is part of a workaround for a bug in STREAMS flow control found in versions of SVR4. (The bug has been fixed in SVR4.1 and SVR4.2.) The bug creates a window where the event that triggers the generation of SIGPOLL can be lost. The same bug can result in missing the event when using poll, too.

The second difference is the call to isastream(3C) to determine if the

standard output is a stream. The synopsis for isastream is

```
int isastream(int fd);
```

isastream returns 1 if fd is a file descriptor associated with a stream, 0 if not, and -1 on error. If an error occurs, we assume the standard output is not a stream. We use catreg, from Example 2.4.6, if file descriptor 1 is not a stream. Otherwise, we call cattostream to copy the contents of the file to the standard output.

Notice that the buffer is larger, but the read size (RDSIZE) will still be the same as the previous version. This is because we will use the b uffer in a circular f ashion: as we are copying data from one part of it, we will cop y data into another part, until we reach the end of the buffer, where we will jump back to the beginning of the buffer again, until no more data are left (see Figure 3.7).

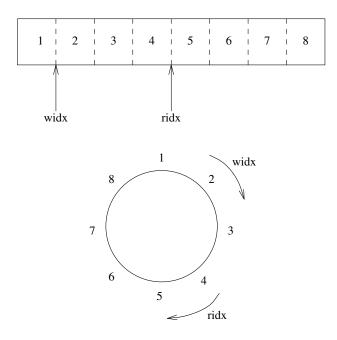


Fig. 3.7. Circular Buffer

Before writing to the stream, cattostream installs a signal handler for SIGPOLL and registers with the stream to be sent SIGPOLL whenever flow-control restrictions are removed. Then it places the stream in nonblocking mode by calling setnonblock (described shortly).

The basic flow is to read some data from the file, write the data to the terminal, and if flow control is asserted, continue reading from the file into the circular buffer until either there is no room left in the buffer or the read is interrupted by the delivery of SIGPOLL. We use sigprocmask to manage the critical sections of code where an error might occur if the e xecution is interrupted by the delivery of SIGPOLL. If there is no room left in the b uffer, we have nothing to do but wait for flow-control restrictions to be lifted, so we use sigsuspend.

```
void
cattostream(int fd)
{
     int n;
     struct sigaction sa;
     sigset_t s, os;
     sigemptyset(&s);
     sigaddset(&s, SIGPOLL);
     /*
      * Install a signal handler for SIGPOLL.
      */
     sa.sa_handler = dowrite;
     sigemptyset(&sa.sa_mask);
     sa.sa_flags = 0;
     if (sigaction(SIGPOLL, &sa, NULL) < 0)
         fatal("cat: sigaction failed");
     /*
      * Arrange to be notified when the standard output
      * is no longer flow-controlled. Then place the file
      * descriptor for stdout in nonblocking mode.
      */
     if (ioctl(1, I_SETSIG, S_OUTPUT) < 0)
         fatal("cat: I_SETSIG ioctl failed");
     setnonblock(1);
     totrd = totwr = 0;
     ridx = widx = 0;
     flowctl = 0;
     for (;;) {
         if ((n = doread(fd)) == 0) {
             /*
              * End of file; finish writing.
              */
             finwrite();
             break;
         } else if (n < 0) {
             /*
              * Read was interrupted by SIGPOLL.
              */
             continue;
         } else {
             /*
              * Successfully read something.
              */
             totrd += n;
         }
         /*
          * Start critical section. Block SIGPOLL.
```

```
* Then try to write what we've just read.
          */
         sigprocmask(SIG_BLOCK, &s, &os);
         dowrite(0);
         while (flowctl) {
             if (ridx != widx) {
                 /*
                  * Allow read to be interrupted.
                  */
                 sigprocmask(SIG_UNBLOCK, &s, NULL);
                 if ((n = doread(fd)) == 0) { /* EOF */
                      finwrite();
                      return;
                 } else if (n > 0) { /* read data */
                     totrd += n;
                 }
                 sigprocmask(SIG_BLOCK, &s, NULL);
             } else {
#ifdef FCBUG
                 /*
                  * Flow control bug -- might miss event.
                  */
                 alarm(1);
#endif
                 /*
                  * Atomically unblock SIGPOLL and
                  * wait to be interrupted. On return,
                  * SIGPOLL is still blocked.
                  */
                 sigsuspend(&os);
#ifdef FCBUG
                 alarm(0);
                 if (ioctl(1, I_CANPUT, 0) != 0) {
                      /*
                      * Flow control lifted;
                       * continue writing.
                       */
                      flowctl = 0;
                     dowrite(0);
                     break;
                 }
#endif
            }
         }
         /*
          * End critical section. Unblock SIGPOLL.
          */
         sigprocmask(SIG_UNBLOCK, &s, NULL);
     }
}
```

We use alarm so that we do not block indef initely if FCBUG is defined. To work around the flow-control bug, we use the I_CANPUT ioctl to check if we can

write to the stream. If not, we just continue in the loop. If so, we clear the flowctl flag and call dowrite to continue writing to the stream. On systems that have fixed the flow-control bug, dowrite is called as the signal handler for SIGPOLL.

We use setnonblock to place a stream in nonblocking mode and setblock to restore it back to the def ault blocking behavior. Both functions get the current copy of the file flags for the stream and then turn the O_NONBLOCK flag either off or on. Modifying a copy of the current flags ensures that we do not change any of the flags already set; we just w ant to change the status of the O_NONBLOCK flag.

```
void
setnonblock(int fd)
{
     int fl;
     /*
      * Get the current file flags and turn on
      * nonblocking mode.
      */
     if ((fl = fcntl(fd, F_GETFL, 0)) < 0)
         fatal("cat: fcntl F_GETFL failed");
     if (fcntl(fd, F_SETFL, fl|O_NONBLOCK) < 0)
         fatal("cat: fcntl F_SETFL failed");
}
void
setblock(int fd)
{
     int fl;
     /*
      * Get the current file flags and turn off
      * nonblocking mode.
      */
     if ((fl = fcntl(fd, F_GETFL, 0)) < 0)
         fatal("cat: fcntl F_GETFL failed");
     if (fcntl(fd, F_SETFL, (fl&~O_NONBLOCK)) < 0)</pre>
         fatal("cat: fcntl F_SETFL failed");
}
```

When we have reached the end of the input file, read will return 0 and we call finwrite to finish writing the data to the standard output. In it, we attempt to cancel the SIGPOLL generation. If that fails, we just ignore SIGPOLL. The I_SET-SIG should not fail, but we program defensi vely where we can. Then we disable nonblocking mode for the standard output and finish writing the data to the terminal.

```
void
finwrite(void)
{
```

}

{

```
/*
* Cancel SIGPOLL generation for stdout.
*/
if (ioctl(1, I_SETSIG, 0) < 0) {
    struct sigaction sa;
    /*
     * I_SETSIG shouldn't have failed, but
     * it did, so the next best thing is to
     * ignore SIGPOLL.
     */
    sa.sa_handler = SIG_IGN;
    sigemptyset(&sa.sa_mask);
    sa.sa_flags = 0;
    if (sigaction(SIGPOLL, &sa, NULL) < 0)
        fatal("sigaction failed");
}
/*
 * Disable nonblocking mode and write last
 * portion to the standard output.
 */
setblock(1);
dowrite(0);
```

In the circular buffer, ridx is the index of the next location into which we read data, and widx is the index of the next location from which we write data. The maximum data read at once is gi ven by RDSIZE. If we are less than ridx bytes from the end of the buffer, then we read only as many bytes as can fit in the buffer.

After reading, we increment ridx by the number of bytes read and if it reaches the end of the buffer, we reset it to 0. If SIGPOLL comes in during the middle of the read, then we can get one of two results: either we transferred some data to the buffer and read will return the number of bytes transferred, or we did not transfer anything and read fails with errno set to EINTR. Any other failure is a real error.

```
int
doread(int fd)
     int n, rcnt;
     /*
      * Calculate the space left to read.
      * Read at most RDSIZE bytes.
      */
     rcnt = widx - ridx;
     if (rcnt <= 0) {
         /*
          * The writer is behind the reader
          * in the buffer.
          */
         rcnt = BUFSIZE - ridx;
         if (rcnt > RDSIZE)
```

```
rcnt = RDSIZE;
}
/*
 * Read as much as we can.
 */
n = read(fd, &buf[ridx], rcnt);
if (n >= 0) {
    ridx += n;
    /*
     * If we've reached the end of the buffer,
     * reset the read index to the beginning.
     */
    if (ridx == BUFSIZE)
       ridx = 0;
} else if (errno != EINTR) {
    fatal("cat: read failed");
}
return(n);
```

The routine that does the writing to the terminal, dowrite, is always called with SIGPOLL blocked. This is because the write might cause the stream to become flow-controlled, and we do not want the signal to be generated while we are still in dowrite or we could lose track of whether or not the stream is flo w-controlled.

Consider what would happen if we did not block SIGPOLL and it came in after write failed because of flow control, but before flowctl was set to 1. dowrite would again be called, b ut this time as the handler for SIGPOLL. If it is able to write to the stream, it will set flowctl to 0. Then, when it returns to the original instance of dowrite, we continue by setting flowctl to 1, which is incorrect. If cattostream is able to read the remaining part of the file, then the error will be of no consequence, because cattostream will just call finwrite to write the contents of the buffer to the stream. If, however, the buffer fills without having read the entire file, then cattostream will call sigsuspend and never return because the stream is not really flow-controlled.

}

```
/*
    * The writer is ahead of the reader in the
    * buffer. Calculate the amount left to write,
     * and write it.
     */
    wcnt = BUFSIZE - widx;
    n = write(1, &buf[widx], wcnt);
    if (n < 0) {
        if (errno == EAGAIN) {
            /*
             * The stream is flow-controlled.
             */
            nfc++;
            flowctl = 1;
            return;
        } else {
            fatal("cat: write failed");
        }
    } else {
       totwr += n;
    }
    widx += n;
    /*
    * If the write index has reached the end
     * of the buffer, reset it to 0.
    */
    if (widx == BUFSIZE)
       widx = 0;
}
while (widx < ridx) {
    /*
     * The writer is behind the reader in the buffer.
     */
    wcnt = ridx - widx;
    n = write(1, &buf[widx], wcnt);
    if (n < 0) {
        if (errno == EAGAIN) {
            /*
             * The stream is flow-controlled.
             */
            nfc++;
            flowctl = 1;
            return;
        } else {
            fatal("cat: write failed");
        }
    } else {
       totwr += n;
    }
    widx += n;
    /*
    * If the write index has reached the end
```

```
* of the buffer, reset it to 0.
    */
    if (widx == BUFSIZE)
        widx = 0;
}
/*
 * If we reach this point, write was able to
 * transmit everything, so we probably aren't
 * flow-controlled.
 */
flowctl = 0;
```

If widx is greater than ridx, then the most we can write is from widx to the end of the buffer. Otherwise, the most we can write is from widx to the next place to read, ridx. If write fails with errno set to EAGAIN, we set the flowctl flag to indicate flow control has been asserted. If it fails for any other reason, it is a real error, and we exit. If write succeeds, we increment widx by the number of bytes written. If widx goes past the end of the buffer, we set it back to 0. Note that if widx equals ridx, nothing is written (i.e., the ''writer" has caught up with the "reader").

Although more complex, this version of the program can e xecute several times faster than the first. This is because the program does other useful w ork while the output is flow-controlled. However, if the output device is fast enough such that it never flow-controls, there will be no increase in speed.

On a 33 MHz i386, I timed dif ferent versions of cat over three terminal devices: the console (whose screen contents are essentially mapped into the k ernel address space), the asynchronous serial line connected to an ASCII terminal running at 19,200 bps (using the ASY dri ver), and the same serial line with an A T&T 630MTG windowing terminal running layers (using the XT dri ver, with ASY linked underneath it). The results from printing a 100,000-byte f ile are summarized in Table 3.4. All times are in seconds.

Device	cat from	cat from cat from	
	/usr/bin	Example 2.4.6	This Example
Console	36	36	36
ASY	285	273	122
XT	381	369	247

Table 3.4. Timing Results Writing to Different Devices

During the tests, the console stream ne ver flow-controlled, the ASY stream flow-controlled twice, and the XT stream flo w-controlled three times. Note that no increase of speed is seen with the console. That is because the console driver merely has to copy the message contents to the memory location representing the console screen to display text. This is a good example of the output device being fast enough to prevent the stream from flow-controlling.

}

Although this example completed faster than the other versions when the serial driver was used, the amount of time actually needed to display the text on the screen was almost equivalent. This is because this version of cat simply wrote its data and exited. It did not make the display rate any higher. The stream buffered as much data as the drivers and modules would allow. The drivers and modules continued to process data even though the command had exited because the shell still held the stream open.

Polling

Applications that need to handle multiple f ile descriptors can use the poll system call to check pending conditions on multiple f ile descriptors at once. Rather than blocking in a system call on one of the f ile descriptors while events are occurring on others, applications can block waiting for events on any of a number of file descriptors. The synopsis for poll is

```
#include <sys/types.h>
#include <poll.h>
#include <stropts.h>
```

int poll(struct pollfd *parray, ulong_t nfds, int timeout);

An application supplies an array of pollfd structures, the number of entries in the array, and a timeout value. If nothing happens within the number of milliseconds specified by the timeout, the system call returns 0. The special timeout v alue of INFTIM (-1) causes the system call to block indef initely until an event occurs on one of the f ile descriptors. The special timeout v alue of 0 pre vents the pollfd structure is defined as:

```
struct pollfd {
    int fd;    /* file descriptor */
    short events;    /* requested events */
    short revents;    /* returned events */
};
```

An application sets the fd field to the f ile descriptor to be polled and the events field to the bitmask of events to be checked. On return, the revents field contains the subset of events that occurred, plus some that the system can set. The events about which the caller can request notification are listed in Table 3.5.

In addition to those events that the caller can request, three other events can be reported (see Table 3.6). The POLLERR, POLLHUP, and POLLNVAL events only apply to the revents field. An application cannot explicitly poll for these events by setting them in the events field.

Example 3.4.2. Most commands like cu(1) and rlogin(1) are implemented by forking, using one process to read from the terminal and write to the network, and the other process to read from the network and write to the terminal. This architecture

Event	Description
POLLIN	Data (other than high-priority) can be read.
POLLPRI	High-priority data can be read.
POLLOUT	Write side is no longer flow-controlled for normal data.
POLLRDNORM	Normal data (band 0) can be read.
POLLWRNORM	Same as POLLOUT.
POLLRDBAND	Out-of-band data can be read.
POLLWRBAND	Write side is no longer flow-controlled for out-of-band data.

Table 3.5. Requestable poll Events

Table 3.6.	Nonreques	table poll	Events
------------	-----------	------------	--------

Event	Description
POLLERR	Stream is in error (M_ERROR message received).
POLLHUP	Device has hung up stream.
POLLNVAL	The file descriptor is invalid.

can be reimplemented using only one process and using poll to avoid blocking on either file descriptor. The following function illustrates how this might be done.

```
#include <poll.h>
#include <unistd.h>
extern void error(const char *fmt, ...);
void
comm(int tfd, int nfd)
{
     int n, i;
     struct pollfd pfd[2];
    char buf[256];
    pfd[0].fd = tfd;
                       /* terminal */
    pfd[0].events = POLLIN;
    pfd[1].fd = nfd; /* network */
    pfd[1].events = POLLIN;
     for (;;) {
         /*
          * Wait for events to occur.
         */
         if (poll(pfd, 2, -1) < 0) {
             error("poll failed");
             break;
         }
```

}

```
/*
     * Check each file descriptor.
     */
    for (i = 0; i < 2; i++) {
        /*
         * If an error occurred, just return.
         */
        if (pfd[i].revents&(POLLERR | POLLHUP | POLLNVAL))
            return;
        /*
         * If there are data present, read them from
         * one file descriptor and write them to the
         * other one.
         */
        if (pfd[i].revents&POLLIN) {
            n = read(pfd[i].fd, buf, sizeof(buf));
            if (n > 0) {
                write(pfd[1-i].fd, buf, n);
            } else {
                 if (n < 0)
                     error("read failed");
                 return;
            }
        }
    }
}
```

The comm function sets up the pollfd array and performs a poll that will block until data arri ve on either file descriptor. The variable i indicates the file descriptor to check. If there was an error or hangup on the stream, we return. If there are data to be read, the POLLIN flag will be set and we read the data from the stream and write them to the other stream. Since we only have two indices, 0 and 1, the idiom 1-i gives us the other index. Then we perform the same task with the other stream. We continue this until there is an error.

Do not be misled by the e xample. Both cu and rlogin are a lot more complex than this example might imply. They are both complicated by signal handling and local command (' 'escapes") processing. rlogin uses out-of-band data to implement end-to-end flow control and to propag ate interrupts across the netw ork. cu, on the other hand, transmits all characters in a single band, sometimes resulting in awkward delays between the time that special characters (such as interrupt and stop) are typed and the time that the remote computer reacts to them. This is mainly because other characters that were transmitted before the special ones might still be buffered, delaying the remote machine from interpreting the special ones. This behavior is because cu was not designed from the start to w ork over networks. It was designed to work primarily with asynchronous serial communication de vices and was not converted to use the transport-level network interface until SVR3. Even now, it does not attempt to use out-of-band transmission services if the underlying communication facility supports them.

3.5 SERVICE INTERFACES

A *service interface* is the boundary between a user (called the ' 'consumer") and the provider of a service. A service interface consists of the primiti ves that can be passed across the interf ace and the rules specifying the state transitions that occur when the primitives are generated and received. The state transitions imply an ordering of primiti ves; for example, an ackno wledgement being sent in response to a request. The consumer can generate request primiti ves to obtain service from the provider. The provider, in turn, may answer a request with a response primiti ve. External events might cause the provider to notify the consumer with an event primitive (see Figure 3.8).

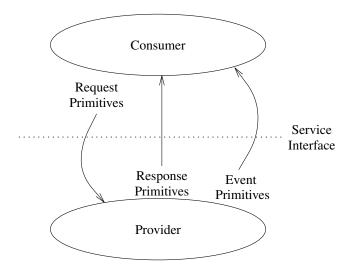


Fig. 3.8. Service Interface

Service interfaces are closely related to the OSI reference model discussed in Chapter 1. At each layer in the model, an interf ace exists between the upper and lower layers. Service interfaces are modeled after these interf aces. The layer beneath the interface provides a service to the layer above the interface.

The purpose of specifying a service interf ace is to allow consumers of services to be written independent of the providers of the service. This enhances the possibility for different consumers to be matched up with different providers, as long as they share a common service interf ace. The Transport Provider Interface (TPI) is one example of a service interface. It is discussed in detail in Chapter 12.

Consider an application (the consumer) that lets users remotely log in to dif ferent computers connected to a netw ork. If the application conforms to a published service interface, then it is possible to have a variety of network protocols (the providers) that support remote login just by conforming to the same interface. Figure 3.9 illustrates this point. In it, TIMOD is a module that helps the transport interface library routines conform to the proper service interface, the TPI. Two transport providers are shown, each implementing a different protocol. TCP is the Internet's Transmission Control Protocol, and TP4 is ISO's class 4 transport protocol.

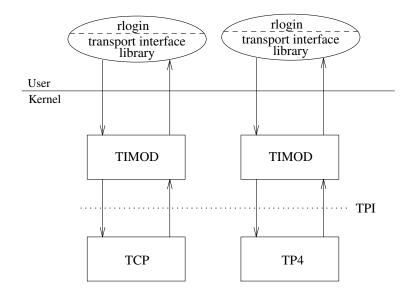


Fig. 3.9. Service Interfaces Promoting Software Reuse

Service interfaces can exist at the boundary between an y two processing elements in a stream. The service interfaces provide the separation and isolation needed to implement layered protocols.

In System V, service interface primitives are implemented as STREAMS messages. Usually, primitives are constructed by creating M_PROTO message blocks containing control information, such as the primitive type and addressing information, and linking these to M_DATA message blocks containing the associated user data. By separating user data from control parameters, protocol-independent filtering modules can be used in the stream (the y process only the M_DATA portions of messages). For example, you could write a module that encrypts data in M_DATA messages and push it on a network connection's stream. Both sides of the connection would have to push the same module and then inform the module of the correct k ey to use to encrypt and decrypt the data. The module could then operate by processing all M_DATA messages it receives, including the ones link ed to M_PROTO and M_PCPROTO messages. The module would not have to know anything about the protocol used, because the module operates on user data only . Thus, the module could be used with different protocols.

The reason that the getmsg and putmsg system calls exist is to provide a means for user level applications to communicate across service interf aces. The

putmsg to process both control information and user data. A less obvious benefit of service interf aces is the ability to migrate protocols from the kernel to intelligent peripheral devices. Since applications deal with a fixed service interface, all that need be done is to provide a driver that presents the same service interface to users while communicating the primitives to the peripheral device where the real work is done. The system calls are unaffected by changes like this because they know nothing about the communication in volved; they merely act as a message-transfer mechanism. Protocol processing can even migrate between user level and kernel level as long as the same service interf aces are provided for existing applications.

An example of a service primitive is a request to send data. The primitive type and addressing information w ould be contained in the control b uffer, and the user data would be contained in the data buffer.

Example 3.5.1. A hypothetical (and inefficient) protocol might require that a positive or negative acknowledgement be sent to the consumer for e very data request generated. Combining the routines from two previous examples (3.3.2 and 3.3.4), we can write a routine that implements this service interface.

```
#include <sys/types.h>
#include <unistd.h>
#include <signal.h>
#include <stropts.h>
int
send(int fd, char *buf, uint_t blen, char *addr,
     uint_t alen)
{
     sigset_t set, oset;
     /*
     * Block SIGPOLL.
      */
     sigemptyset(&set);
     sigaddset(&set, SIGPOLL);
     sigprocmask(SIG_BLOCK, &set, &oset);
     /*
      * Send the message.
     */
     if (senddata(fd, buf, blen, addr, alen) < 0) {
         sigprocmask(SIG_SETMASK, &oset, NULL);
         return(-1);
     }
     /*
      * Receive the acknowledgement.
      */
```

}

```
if (getack(fd) < 0) {
    sigprocmask(SIG_SETMASK, &oset, NULL);
    return(-1);
}
/*
 * Restore the original signal mask.
 */
sigprocmask(SIG_SETMASK, &oset, NULL);
return(0);</pre>
```

This is a simple example, but it illustrates a problem with library routines used to implement service interface primitives that require a response. The response message might generate SIGPOLL if the caller had previously called I_SETSIG and the message type of the response corresponds to an input e vent in which the caller w as interested. If we ignore SIGPOLL in the library routine, the caller might miss the arrival of data. If we block (hold) SIGPOLL, then we might generate an e vent because of the arrival of the response message when there is really nothing for the caller to read.

Luckily, response primitives are usually M_PCPROTO messages, and applications are usually only interested in the arri val of normal and out-of-band data. This is because only one high-priority message is enqueued at one time on a stream head's read queue, so it is unlik ely that M_PCPROTO messages are used for an ything other than interface acknowledgements. (Otherwise, primitives would be lost when additional M_PCPROTO messages arrived.) In the event that the caller w ants to be signaled when high-priority messages arri ve, it is probably better that we generate a false event than to remain silent, so we choose to hold SIGPOLL instead of ignoring it.

Examples of more realistic service interfaces are discussed in later chapters.

3.6 IPC WITH STREAMS PIPES

We briefly introduced STREAMS-based pipes in Section 3.2. In this section we will explore the architecture of pipes, along with some of the side of fects of this architecture. Then we will see how STREAMS pipes are used for interprocess communication.

A pipe is created with the pipe system call:

```
#include <unistd.h>
int pfd[2];
if (pipe(pfd) < 0) {
    perror("pipe failed");
    exit(1);
}</pre>
```

Figure 3.10 sho ws the structure of a pipe. Each file descriptor has its o wn stream head, with the write queue pointing at the other' s read queue. Data written using one file descriptor will be packaged into messages by the stream head and placed on the read queue of the stream head at the other end of the pipe. These data are then available to be read using the other file descriptor.

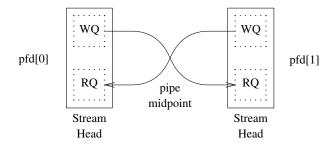


Fig. 3.10. Queue Linkage in a Pipe

If a module is pushed on one end of the pipe, it cannot be popped from the other end (see Figure 3.11). To be removed, it must be popped from the same end on which it was pushed. This is because the pipe is really two separate streams linked together. The point where the streams 'twist" (where the write queues point to the read queues) is the midpoint of the pipe. Operations that are local to a stream will end here, such as searching for modules to pop, or listing the modules in the stream.

Recall that multiple processes that open the same device share the same stream. This is also true for pipes. Since a pipe is a stream, when more than one process is writing to the same end of a pipe at the same time, the data from one process will be interleaved with the data from the other processes.

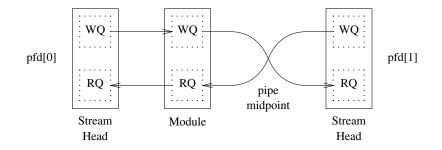


Fig. 3.11. A Module Pushed on a Pipe

Historically, pipe semantics have guaranteed that if a process writes no more than PIPE_BUF bytes, the data will not be fragmented and interlea ved with data

from other processes. In other words, if a process writes at most PIPE_BUF bytes in one system call, the process reading from the other end of the pipe (assuming it reads the same amount) will receive all the data written by the writer, without the data being intermixed with data from other writers. If, however, the writer sends the data with more than one write system call, data from other writers might be interleaved with the data from the separate system calls.

Different UNIX systems support different values for PIPE_BUF. Two common values are 4096 and 5120 bytes. POSIX_PIPE_BUF defines the minimum value that any POSIX-conforming system must support. Both constants are defined in limits.h>. Portable applications should not assume that the v alue for PIPE_BUF is greater than POSIX_PIPE_BUF. A portable way does exist, however, to find the value of PIPE_BUF for a given system: use pathconf(2) or fpathconf(2).

For example, assume two processes, A and B, are writing to the same end of a pipe, and that 100 < PIPE_BUF < 10,000. If process A writes 100 bytes and process B writes 100 bytes, then the reader will receive 100 bytes from one process, followed by 100 bytes from the other process, depending on who wrote f irst. Now, if process A decides to write 10,000 bytes, b ut process B only writes 100 bytes, the reader could receive the first PIPE_BUF from process A, then the 100 bytes from process B, followed by the remaining data (10,000 – PIPE_BUF) from process A. The order depends on a number of f actors, such as process scheduling priority and a vailability of buffers for STREAMS messages.

If a module is pushed on one end of the pipe, these semantics are maintained as long as the module's maximum packet size is at least PIPE_BUF bytes. The maximum packet size determines how the data are fragmented when written. Of course, with modules on the pipe, the order of arri val might change depending on ho w the modules process the data.

Even though pipes are streams, the y exhibit slightly different behavior during nonblocking I/O, because of older pipe semantics. For compatibility, if a pipe's stream is placed in nonblocking mode with the O_NDELAY flag, read will return 0 when no data are a vailable, and write will block when the stream is flo w-controlled. If the stream is placed in nonblocking mode with the O_NONBLOCK flag, read will return -1 with errno set to EAGAIN when no data are a vailable, and write will return be stream is flo w-controlled.

If one end of a pipe is closed, then the other end is placed in hangup mode. Thus, processes cannot write to the open end, b ut they can still read from it. Processes will be sent SIGPIPE when they try to write to a pipe when the other end is not in use. The default action for SIGPIPE is to kill the process, so processes that do not w ant to be killed in this manner when using pipes should either catch or ignore SIGPIPE if there is a chance that one end will go away abruptly.

Flushing Data in a Pipe

An interesting problem occurs with flushing data in a pipe. Recall that flushing occurs by passing an M_FLUSH message through the stream. The message contains flags that specify the queues to flush (read-side, write-side, or both). At the point where the two streams forming the pipe meet, the read side of one stream becomes the write side of the other , and vice v ersa. So if only one side of the stream is flushed, the flag will refer to the opposite side after passing the midpoint of the pipe.

Referring back to Figure 3.10, let's look at what happens when we try to flush the stream using

ioctl(pfd[0], I_FLUSH, flag);

If flag is FLUSHR, then the stream head read queue referred to by pfd[0] will be flushed. If flag is FLUSHW, then the stream head read queue referred to by pfd[1] will be flushed, since data written to pfd[0] are placed on the read queue of pfd[1]. If flag is FLUSHRW, then both read queues are flushed. The stream head never enqueues messages on its write queue, so there is no need to flush it. Note that no message is generated to flush the pipe when no modules are present.

If there are modules on the stream pipe (see Figure 3.11), however, a message is used instead, in the same way as if there were a driver at the end of the stream. Now let's see what happens in this case. If only FLUSHR is set in the M_FLUSH message, the module would flush its read queue and pass the message to the stream head of pfd[1]. Then that stream head would flush its read queue, which is not what we intended. Since the read queue of pfd[1] holds messages we write to pfd[0], flushing the read queue of pfd[1] would only make sense if we had specified FLUSHW.

If only FLUSHW is set, the module w ould flush its write queue and pass the message to the stream head of pfd[1]. The stream head would then route the message down its write side, passing the message back to the module. The module would again flush its write queue and pass the message back to where it originated, the stream head of pfd[0]. This time, however, the stream head will not route the message back down the write side of the stream. It is smart enough to pre vent the flush message from circulating more than once in the pipe.

The problem of flushing the wrong queues in a pipe e xists because, at the midpoint, the side of the stream where processing is taking place changes, b ut the sense of the flags in the M_FLUSH message does not. To flush one side of a pipe correctly, we need to flip the sense of the flags. For example, to flush the write side, we should flush all the write queues from the stream head do wn to the midpoint, then switch and flush all the read queues on the other side from the midpoint up to the other stream head.

To solve this problem, a module (called PIPEMOD) is a vailable to switch the sense of the flush flags. PIPEMOD performs no other function. If an application requires modules on the pipe and the ability to flush data, then PIPEMOD should be the first module pushed on the stream, closest to the midpoint. (It only needs to be on one side of the pipe.) Otherwise, it can be left of f the stream. In addition to

PIPEMOD, other modules intended for use in pipes may incorporate the necessary logic to switch the sense of the flush flags.

Mounted Streams

When a process needs to communicate with one of its children, it usually does so through a pipe. The parent enables communication by creating a pipe before forking off the child. After the child is created, the parent will write to and read from one of the two file descriptors returned from the pipe system call. The child will use the other end of the pipe.

More interesting, however, is the use of pipes for communication between unrelated processes. By giving one end of a pipe a name in the f ile system, a process offers a way for other processes to communicate with it. FIFOs provide this capability, but communication is unidirectional. Mounted streams overcome this problem. Here's how: a process creates a pipe and mounts one end of it over an existing file using fattach(3). Then, when other processes open the file, they gain access to the mounted end of the pipe instead of the pree xisting file. Communication can occur as in the parent/child case, with the original process reading from and writing to the unmounted end of the pipe, and the other processes reading from and writing to the mounted end of the pipe.

The fattach library routine uses the mount system call and a special f ile system called NAMEFS to support mounted streams (also called ''named streams"). The synopsis for fattach is

```
int fattach(int fd, const char *path);
```

The process must own and have write permission on the file represented by path, or have super-user privileges. After the stream is attached, processes opening the f ile will gain access to the pipe. Any processes that have the file open before the call to fattach will still access the original f ile after the attach is made, b ut if they open the file again, they will access the pipe instead.

Example 3.6.1. One problem that arises with window systems is that they may render your login terminal de vice useless. Others cannot write to your terminal unless the windowing software has replaced the login terminal's entry in /var/adm/utmp with the name of the new device to which messages can be sent. Even though library routines e xist to make this change easier [see getut(3C)], they can cause problems because the y do not serialize access to the data f iles being changed.

Instead of changing the utmp entry, a windowing system can elect to display messages written to your terminal in the current windo w or in a reserved window by monitoring the end of the pipe returned by this function:

```
#include <sys/types.h>
#include <sys/stat.h>
#include <unistd.h>
#define TTYMODE (S_IRUSR|S_IWUSR|S_IWGRP)
```

```
int
chgterm()
{
     int pfd[2];
     char *tty;
     /*
      * Get the name of the controlling terminal.
      */
     if ((tty = ttyname(0)) == NULL)
         return(-1);
     /*
      * Create a pipe and mount one end on top of
      * the terminal's device node. Then change
      * the mode of the pipe to give it the same
      * permissions as terminals.
      */
     if (pipe(pfd) < 0)
         return(-1);
     if ((fattach(pfd[1], tty) < 0) ||
       (chmod(tty, TTYMODE) < 0)) {
         close(pfd[0]);
         close(pfd[1]);
         return(-1);
     }
     /*
      * Close the end of the pipe just mounted and
      * return the other end to the caller.
      */
     close(pfd[1]);
     return(pfd[0]);
}
```

On success, the function returns a f ile descriptor to be monitored, or -1 if it fails. It obtains the name of the terminal that w as used to log in, creates a pipe, and mounts one end of the pipe o ver the name. Then it makes the name publicly writable. This does not change the mode of the on-disk file representing the terminal name, as you might e xpect. Instead, it changes the mode of the pipe while it is attached to the name, leaving the mode of the on-disk file unaltered. From the output of 1 s -1 / dev/ttyXX, it will appear as if the terminal name is a pipe with per - mission 0620, but as soon as the pipe is unmounted, things will revert to the actual device mode and permissions.

One catch with using this method is that the terminal device will not act as a terminal when opened by other processes. Since many programs behave differently when they are writing to a terminal, it might be prudent for the windo wing system to push a special module on the pipe to mak e the pipe appear as a terminal. Such a module is easy to write, as we shall see in Chapter 11. A process can unmount a stream that has been attached to the f f ile system namespace with fdetach(3):

int fdetach(const char *path);

Unless both ends of a pipe are mounted, if one end of a pipe is closed while the other end is mounted, then the mounted end is automatically unmounted. This is why we do not have to call fdetach if chmod fails in the previous example.

Sharing and Passing File Descriptors

When two processes want to share file descriptors, they usually have to be related in some way. When a process forks, the child process has access to all f ile descriptors in use by the parent before the fork. Any files opened after the fork are private to the process that opened them. This is useful when the parent needs to fork of f a child to perform some task.

Passing file descriptors between unrelated processes can be accomplished in this manner by having the child exec the program that is to recei ve the file descriptors. This approach is incon venient because it restricts the types of programs that can make use of it. For example, processes already running would not be able to receive file descriptors since the passing can only be done at the creation of the process. Additionally, the file descriptors to be shared are limited to only those file descriptors in use before the fork.

The I_SENDFD and I_RECVFD ioctl commands improve this situation by allowing unrelated processes to pass f ile descriptors to each other. When a process wants to send a file descriptor to another process, it can use the I_SENDFD ioctl command in conjunction with a mounted pipe:

The first parameter, pipefd, must be a file descriptor of a stream pipe or a loopback driver. The third parameter, otherfd, is the file descriptor to be sent. The only requirement is that it be a valid open file descriptor.

After sending otherfd, the process can close it if the f ile descriptor is not needed. The system will keep it open until the receiving process closes it. To pass a file descriptor, the stream head creates an M_PASSFP message, obtains a reference to the file pointer, places the file pointer and identification of the sender in the message, and enqueues the message on the stream head read queue at the other end of the pipe.

A process can then receive the file descriptor with the I_RECVFD ioctl command:

The third argument is a pointer to a strrecvfd structure that describes the new file descriptor:

```
struct strrecvfd {
    int fd;
    uid_t uid;
    gid_t gid;
};
```

The fd field contains the new file descriptor. The uid field contains the effective user ID of the sending process. Similarly, the gid field contains the effective group ID of the sending process.

If an M_PASSFP message is at the front of the stream head read queue, an y attempts to use read or getmsg on that stream will f ail with errno set to EBADMSG.

Example 3.6.2. Suppose client applications must authenticate their network connections so the y can obtain service. Instead of having every client application call a function to do the authentication, we can dele gate a separate process on each machine to handle the authentication. Separating the authentication from the clients has the advantage that we can change the authentication scheme at an y time without having to change all of the applications; we just replace the authenticator process instead. (A similar technique can be applied to server processes as well.)

One way to implement the interf ace to the authenticator process is to pass the network connection to the process, let it do the w ork, and then have it pass the file descriptor back to the client. For example, the authenticator might use the user ID found in the strrecvfd structure as an index into a database containing public and private keys (passwords) to be used in authentication. A function similar to the following can be used to exchange file descriptors with the authenticator:

```
#include <fcntl.h>
#include <unistd.h>
#include <stropts.h>
#include <errno.h>
int
auth(int netfd)
{
     int afd;
     struct strrecvfd recv;
     /*
      * Open a mounted stream pipe to the authenticator.
      */
     if ((afd = open("/var/.authpipe", O_RDWR)) < 0) {
         close(netfd);
         return(-1);
     }
     /*
      * Send the network connection to be authenticated.
```

```
*/
if (ioctl(afd, I_SENDFD, netfd) < 0) {
    close(afd);
    close(netfd);
    return(-1);
}
/*
 * We don't need the network file descriptor.
 * The authenticator will pass us back the
 * file descriptor to use.
 */
close(netfd);
if (ioctl(afd, I_RECVFD, &recv) < 0) {
    recv.fd = -1;
} else if (recv.uid != 0) { /* impostor */
    close(recv.fd);
    errno = EACCES;
    recv.fd = -1;
}
close(afd);
return(recv.fd);
```

The first step is to open the mounted pipe (/var/.authpipe) that the authentication server is using. It is usually a good idea to start pipe names with a period so people grepping around will not indef initely block reading from the pipe. If the open f ails, we close the netw ork file descriptor since we cannot authenticate the connection, and we return failure.

If we can open the named pipe, we send the f ile descriptor to the authentication process. Then we close the network file descriptor since we do not need it an ymore and receive the authenticated file descriptor from the authentication process. If the authenticator is not running with super-user privileges, we discard the file descriptor and set errno to EACCES to indicate a permissions problem. This limits the ability of someone being able to spoof the authentication facility.

If everything checks out, we close the pipe's file descriptor and return the f ile descriptor associated with the authenticated network connection. \Box

Unique Connections

}

There is a bug in the previous example. If more than one process is trying to authenticate a connection at the same time, then we might end up with the authenticated file descriptors going to the wrong processes. With more than one process trying to receive a file descriptor from the same end of a pipe, the f irst process to run will get the file descriptor. This is the same problem that e xists with both FIFOs and mounted streams: the reader cannot distinguish data from multiple writers, and multiple readers cannot contend for data from the same end of a pipe without some sort of synchronization.

This problem can be solv ed by using a special module called CONNLD. The

server process can push CONNLD on the mounted end of the pipe. Then, whenever a process opens the pipe, it will get a unique connection to the server. The way this works is that CONNLD creates a second pipe and sends one end to the server process. Then it arranges to have the other end returned to the client process as the returned file descriptor from open. The client process will block until the server receives the file descriptor with the I_RECVFD ioctl command. Then both processes can communicate over the unique connection without interference from other processes.

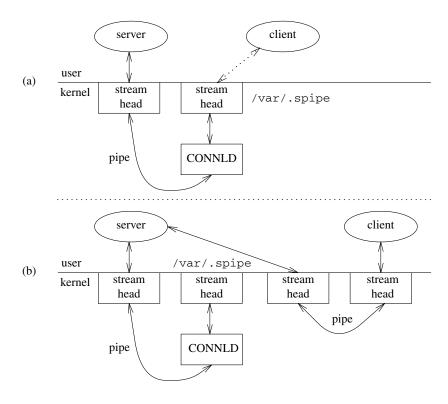


Fig. 3.12. Unique Connections with CONNLD

Figure 3.12 shows a process opening a pipe (with CONNLD on it) mounted on the file named /var/.spipe. In part (a), the client process attempts to open the pipe and goes to sleep. After CONNLD creates a new pipe and sends one end to the server process, the serv er receives it, waking up the client. Part (b) of the f igure shows the end result. The server process has a new file descriptor that refers to one end of a pipe that goes directly to the client process. The client process does *not* gain access to the mounted pipe. Instead, the mounted pipe is replaced by the ne wly created pipe before open returns. **Example 3.6.3.** The following two routines can be used by a serv er process to provide unique connections on a mounted pipe. The first routine creates the pipe, pushes CONNLD on one end, and then mounts that end in the file system. This is all the initialization needed to enable unique connections. The second routine is a stub for the authentication process discussed in the previous example.

```
#include <sys/stat.h>
#include <unistd.h>
#include <fcntl.h>
#include <stropts.h>
#define PIPEPATH "/var/.authpipe"
#define ALLRD (S_IRUSR|S_IRGRP|S_IROTH)
#define ALLWR (S_IWUSR|S_IWGRP|S_IWOTH)
#define PIPEMODE (ALLRD|ALLWR)
int pfd[2];
extern void fatal(const char *fmt, ...);
void
initialize()
{
     /*
      * Create a pipe.
      */
     if (pipe(pfd) < 0)
         fatal("cannot create pipe");
     /*
      * Push CONNLD on one end to enable unique
      * connections.
      */
     if (ioctl(pfd[1], I_PUSH, "connld") < 0)</pre>
         fatal("cannot push CONNLD");
     /*
      * Create a place to mount the pipe.
      */
     close(creat(PIPEPATH, PIPEMODE));
     /*
      * Mount the end of the pipe containing
      * CONNLD on PIPEPATH.
      */
     if (fattach(pfd[1], PIPEPATH) < 0)
         fatal("cannot attach pipe to file system");
}
void
serve()
{
     struct strrecvfd recv;
```

```
struct strrecvfd conn;
int okay;
for (;;) {
    /*
     * Get the file descriptor of the pipe
     * connected to the local client process.
     */
    if (ioctl(pfd[0], I_RECVFD, &conn) < 0)
        continue;
    /*
     * Get the file descriptor to be authenticated.
     */
    if (ioctl(conn.fd, I_RECVFD, &recv) < 0) {
        close(conn.fd);
        continue;
    }
    /*
     * Authenticate the connection.
     */
    okay = doauth(recv.fd);
    /*
     * Send authenticated file descriptor back to
     * the client process.
     */
    if (okay)
        ioctl(conn.fd, I_SENDFD, recv.fd);
    /*
     * Close the file descriptors we no longer need.
     */
    close(conn.fd);
    close(recv.fd);
}
```

The second routine implements a sample service loop. The first I_RECVFD obtains the file descriptor for one end of the unique pipe connection to the client. The second I_RECVFD obtains the file descriptor of the netw ork connection that is to be authenticated. If authentication succeeds, the authenticated f ile descriptor is passed back to the client. If authentication fails, the pipe is closed, resulting in the client's I_RECVFD ioctl request failing.

Another interesting problem occurs with using pipes for communication between unrelated processes. By placing a pipe in the file system namespace, applications can expose themselves to unwanted communication, through either error or mischief. If the access permissions of a mounted pipe are unrestrictive, as they often must be, processes other than those intended can attempt communication. Since they might not follow the proper protocol, server applications have to be less trusting.

}

For example, suppose an application were written to e xpect a message following a fixed format, and a client only wrote half of the message. Then the next process to write to the pipe would have the first part of its message interpreted as the second half of the previous (incomplete) message.

Applications can be made less susceptible to these types of errors by follo wing a few simple rules:

- 1. If you read less than you expect to, discard the data.
- 2. Validate the data received, if possible.
- 3. If you are using read and get EBADMSG, there might be a protocol message or file-descriptor message on the stream head read queue. If it is a protocol message, retrieve it using getmsg and discard the information. If it is a f ile-descriptor message, use I_RECVFD to get the file descriptor and then close it right away. If you are using getmsg and get EBADMSG, then there is a f ile-descriptor message on the stream head read queue. As in the read case, receive it and close it.
- 4. Put the stream in *message discard* mode so any data remaining in the message after you have read what you needed are discarded (see the ne xt section for more details).

3.7 ADVANCED TOPICS

This section will cover some less commonly used aspects of STREAMS, including read modes, write modes, and priority bands.

Read Modes

By default, read(2) treats data on the stream head read queue as a byte stream. This means it ignores message boundaries. If the user issues a read large enough, data will be retrieved from multiple messages on the queue until either the queue is empty or the read request size is satisfied. As discussed earlier, read only works if the message on the front of the stream head read queue is of type M_DATA.

These characteristics can be changed with the I_SRDOPT ioctl command. Of the six modes, three control how read processes data in messages on the queue and three control how read treats nondata messages. The current read modes in effect for a stream can be obtained with the I_GRDOPT ioctl command.

Usually, *byte stream mode* (RNORM, the default) will suffice for most applications, but there are two other mutually exclusive modes that applications can use. The first, *message discard mode* (RMSGD), will prevent read from returning data in more than one message if the amount requested is greater than the amount of data in the first message on the stream head read queue. If the application requests less, then the remainder of the message is discarded. This is useful when an application employs a protocol that uses fixed-size messages. If a process sends more data than required, the excess will be discarded when read by the application. If a process writes less than required, the read will not consume successive messages to satisfy the amount of data missing from the first message.

The second mode is *message nondiscard mode* (RMSGN). Like RMSGD mode, this mode will prevent read from returning data in more than one message if the amount requested is greater than the amount of data in the f irst message on the stream head read queue. Unlike RMSGD mode, however, if the application reads less than the amount of data in the f irst message, the remainder of the message is placed back on the front of the stream head read queue, to be obtained by the ne xt read. This mode is useful when an application does not kno w how much data to e xpect, wants to do large reads for performance, and e xpects data to be written in one message. It is equivalent to getmsg without control information.

Three mutually exclusive modes control the treatment of protocol messages (M_PROTO and M_PCPROTO) by the read system call. The default mode, RPROTNORM, causes read to fail with errno set to EBADMSG when a protocol message is at the front of the stream head read queue. Applications can use this mode to recognize when protocol messages arrive.

The second mode is RPROTDIS, *protocol discard mode*. If an M_PROTO or M_PCPROTO message is at the front of the stream head read queue and a process tries to read it in this mode, the control portion is discarded and an y data that were contained in M_DATA blocks linked to the protocol message are deli vered to the process as the data that are read. This mode might be set by an application preparing a stream for reading by another application that has no kno wledge of the service interface being used, as long as only data-transfer primitives are used.

The third mode is *protocol data mode*, RPROTDAT. In it, the protocol messages are converted to data messages and their contents are returned to the user as data when read is used. It has no immediate use, but was added to the system because it was a logical extension of the other modes, easily fell out of the design of read modes, and might prove useful in the future in implementing some applications found in other UNIX variants.

Write Modes

The two write(2) modes can be set with the I_SWROPT ioctl command and obtained with the I_GWROPT ioctl command.

The first mode only applies to pipes. For compatibility, a write of zero bytes to a pipe will have no effect. The SNDZERO option enables zero-length message generation. Applications usually use zero-length data messages to represent end-offile, but some existing programs write zero bytes to their standard output. When used in a pipeline, these applications might not w ork as expected. So if an application wants to generate zero-length data messages on a pipe, it needs to enable the SNDZERO option explicitly.

The second write mode applies to both write and putmsg on any stream. If a stream is in either error mode or hangup mode, the SNDPIPE option will cause SIGPIPE to be sent to processes that try to use write or putmsg on the stream. This is used to support 4BSD socket semantics over STREAMS.

Priority Bands

Each STREAMS message belongs to a particular priority band for the purposes of flow control and message queueing. The priority band of a message determines where it is placed on a queue with respect to other messages. In addition, each priority band has a separate set of flow-control parameters. Users usually do not have to concern themselves with priority bands unless the service interf ace they are using requires it.

Priority bands are used for features lik e expedited data (out-of-band data), where one class of data message has a higher priority than another . The OSI definition of expedited data specifies that the y are unaffected by the flo w-control constraints of normal data. This was the primary motivation for the addition of priority bands. Rather than add a f acility to support only e xpedited data (one out-of-band data path), a more general f acility was implemented, supporting up to 256 bands of data flow. Normal data belong in band 0. Expedited data are implemented in band 1. It is not expected that many more bands than this will be used, but if the need ever arises, the operating system will not have to be changed again.

High-priority messages are always first in a queue. These are followed, in order of decreasing band number, by the other message types. Normal-priority (band 0) messages are found at the end of the queue.

Two system calls were added to deal with priority bands at the service interf ace between the user and the kernel. An application can use putpmsg(2) to generate a message in a particular priority band:

The first three arguments are the same as in putmsg. The band argument specifies the priority band between 0 and 255, inclusive. If flags is set to MSG_BAND, then a message is generated in the priority band specified by band. Otherwise, if flags is set to MSG_HIPRI, band must be set to 0 and an M_PCPROTO message is generated. The call

```
putmsg(fd, ctlp, datp, 0);
```

is equivalent to the call

putpmsg(fd, ctlp, datp, 0, MSG_BAND);

An application can use getpmsg(2) to retrieve a message from a particular priority band:

#include <stropts.h>

```
int getpmsg(int fd, struct strbuf *ctlp,
      struct strbuf *datp, int *bandp, int *flagsp);
```

The integer referenced by flagsp can be one of MSG_HIPRI, MSG_BAND, or MSG_ANY, to retrieve a high-priority message, a message from *at least* the band specified by the integer referenced by bandp, or any message, respectively. If

MSG_BAND is set, it is possible to obtain a message from a band greater than requested, and it is possible to obtain a high-priority message. (This behavior is analogous to the way getmsg works if the RS_HIPRI flag is not used. Note that the flags are different between the original system calls and their priority band v ersions.) On return, bandp points to an inte ger containing the priority band of the message, and flagsp points to an inte ger indicating the message recei ved was high-priority (MSG_HIPRI) or not (MSG_BAND). The call

```
int flags = 0;
```

```
getmsg(fd, ctlp, datp, &flags);
```

is equivalent to the call

```
int flags = MSG_ANY;
int band = 0;
getpmsg(fd, ctlp, datp, &band, &flags);
```

In addition to getpmsg and putpmsg, four ioctl commands were added to support priority band processing [see streamio(7) for more details]. Priority bands also became visible in the STREAMS e vent mechanisms, namely poll and signal generation.

Autopush

Usually when a stream is opened and the de vice is not already open, no modules are present in the stream. Applications have to push the modules the y want explicitly. When the terminal subsystem w as ported to STREAMS, this became a problem. In the clist-based implementation, when a terminal de vice was opened, a line discipline was already associated with the terminal line. Originally, this was not true with STREAMS-based TTY drivers. To avoid having to modify all applications that opened terminal devices, the *autopush* feature was developed.

The autopush feature allo ws administrators to specify a list of modules to be automatically pushed whenever a device is opened. The autopush (1M) command provides the administrative interface to configure devices for autopush. For a particular device, administrators have the choice of configuring all the minors, a range of minors, or individual minors.

If a device is configured for autopush, when it is opened the stream head will take care of pushing the modules on the stream before returning from the open system call. This way, applications can open terminal devices and automatically have a line discipline associated with the terminal line, maintaining compatibility.

However, there is a dra wback to the autopush mechanism. After opening a driver, applications have no way of knowing *a priori* whether or not there are modules on the stream. If it matters to the applications, they must check explicitly for the presence of modules.

Example 3.7.1. This example illustrates how applications can check for the presence of modules on a stream. The function returns the number of modules on the stream on success, or a negative number on failure.

```
#include <sys/types.h>
#include <unistd.h>
#include <stropts.h>
int
nmod(int fd)
{
    int n;
    n = ioctl(fd, I_LIST, NULL);
    return(n - 1);
}
```

The I_LIST ioctl command is used to return a list of names of all the modules and the dri ver in the stream. With a NULL argument, however, it returns the number of names so that the caller can allocate the necessary space for the list. We use this to see if an y modules are pushed on the stream. Since the driver is included in the list, we return one less than the number returned by I_LIST. If the ioctl fails, then we just return a ne gative number. The I_LIST ioctl should never return 0, so that case is treated as an error as well.

Summary

We have briefly covered the user-level interface to the STREAMS subsystem in System V. Those interested in more details of ho w the STREAMS mechanism w orks can refer to Chapter 9. Each of the ne xt five chapters covers networking-related facilities that are built on top of the STREAMS subsystem.

Exercises

- **3.1** Write a routine that reads a message that al ways starts with an indication of the message's size.
- 3.2 Modify Example 3.4.2 to use nonblocking I/O in conjunction with polling.
- **3.3** Describe how to determine whether the message at the front of the stream head read queue is a protocol message or a f ile-descriptor message [hint: see streamio(7)].
- 3.4 Assume processes send you the following message over a pipe:

```
struct msg {
    uid_t uid;
    pid_t pid;
    char text[256];
};
```

Write a routine that reads messages with this format, taking into account the four rules from Section 3.6 for guarding ag ainst corrupt and ille gitimate data.

Bibliographic Notes

The Streams mechanism, in vented by Dennis Ritchie, w as introduced in the Eighth Edition Research UNIX System [Ritchie 1984]. STREAMS was first commercially available in UNIX System V Release 3.0 [AT&T 1986]. Presotto and Ritchie [1985, 1990] present Stream pipes, mounted streams, and CONNLD as the y appeared in the Ninth Edition Research UNIX System.

Olander, McGrath, and Israel [1986] discuss the w ork done to provide support for service interfaces in System V STREAMS. Rago [1989] describes changes made to the STREAMS subsystem to provide support for multiple bands of data flow.

To contrast the clist mechanism with the STREAMS mechanism that replaced it, refer to Bach [1986].

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Most functions and constants necessary for UNIX System V netw ork programming are listed in this index. The "definition of" entries for functions listed here refer to places in the book where the function prototypes can be found. Similar entries are provided to identify structure def initions. References have been omitted to places where common functions, such as exit, are used. Page numbers printed in boldface refer to the location in the book where the source code implementing the corresponding function can be found.

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